ANNEX I

Dimensions and codes for the types of intervention for the ERDF, the ESF+, the Cohesion Fund and the JTF ‑ Article 22(5)

TABLE 1: DIMENSIONS AND CODES FOR THE TYPES OF INTERVENTION[[1]](#footnote-1),[[2]](#footnote-2)

| INTERVENTION FIELD[[3]](#footnote-3) | Coefficient for the calculation of support to climate change objectives | Coefficient for the calculation of support to environmental objectives |
| --- | --- | --- |
| Policy objective 1: A more competitive and smarter Europe by promoting innovative and smart economic transformation and regional ICT connectivity |
| 001 | Investment in fixed assets, including research infrastructure, in micro enterprises directly linked to research and innovation activities | 0 % | 0 % |
| 002 | Investment in fixed assets, including research infrastructure, in small and medium‑sized enterprises (including private research centres) directly linked to research and innovation activities | 0 % | 0 % |
| 003 | Investment in fixed assets, including research infrastructure, in large enterprises[[4]](#footnote-4) directly linked to research and innovation activities | 0 % | 0 % |
| 004 | Investment in fixed assets, including research infrastructure, in public research centres and higher education directly linked to research and innovation activities | 0 % | 0 % |
| 005 | Investment in intangible assets in micro enterprises directly linked to research and innovation activities | 0 % | 0 % |
| 006 | Investment in intangible assets in small and medium‑sized enterprises (including private research centres) directly linked to research and innovation activities | 0 % | 0 % |
| 007 | Investment in intangible assets in large enterprises directly linked to research and innovation activities | 0 % | 0 % |
| 008 | Investment in intangible assets in public research centres and higher education directly linked to research and innovation activities | 0 % | 0 % |
| 009 | Research and innovation activities in micro enterprises including networking (industrial research, experimental development, feasibility studies) | 0 % | 0 % |
| 010 | Research and innovation activities in small and medium‑sized enterprises, including networking | 0 % | 0 % |
| 011 | Research and innovation activities in large enterprises, including networking  | 0 % | 0 % |
| 012 | Research and innovation activities in public research centres, higher education and centres of competence including networking (industrial research, experimental development, feasibility studies) | 0 % | 0 % |
| 013 | Digitising SMEs (including e‑Commerce, e‑Business and networked business processes, digital innovation hubs, living labs, web entrepreneurs and ICT start‑ups, B2B) | 0 % | 0 % |
| 014 | Digitising large enterprises (including e‑Commerce, e‑Business and networked business processes, digital innovation hubs, living labs, web entrepreneurs and ICT start‑ups, B2B) | 0 % | 0 % |
| 015 | Digitising SMEs or large enterprises (including eCommerce, e‑Business and networked business processes, digital innovation hubs, living labs, web entrepreneurs and ICT start‑ups, B2B) compliant with GHG emission reduction or energy efficiency criteria[[5]](#footnote-5) | 40 % | 0 % |
| 016 | Government ICT solutions, e‑services, applications | 0 % | 0 % |
| 017 | Government ICT solutions, eservices, applications compliant with GHG emission reduction or energy efficiency criteria (see footnote 4) | 40 % | 0 % |
| 018 | IT services and applications for digital skills and digital inclusion | 0 % | 0 % |
| 019 | e‑Health services and applications (including e‑Care, Internet of Things for physical activity and ambient assisted living) | 0 % | 0 % |
| 020 | Business infrastructure for SMEs (including industrial parks and sites) | 0 % | 0 % |
| 021 | SME business development and internationalisation, including productive investments | 0 % | 0 % |
| 022 | Support for large enterprises through financial instruments, including productive investments | 0 % | 0 % |
| 023 | Skills development for smart specialisation, industrial transition, entrepreneurship and adaptability of enterprises to change | 0 % | 0 % |
| 024 | Advanced support services for SMEs and groups of SMEs (including management, marketing and design services) | 0 % | 0 % |
| 025 | Incubation, support to spin offs and spin outs and start ups | 0 % | 0 % |
| 026 | Support for innovation clusters including between businesses, research organisations and public authorities and business networks primarily benefiting SMEs | 0 % | 0 % |
| 027 | Innovation processes in SMEs (process, organisational, marketing, co‑creation, user and demand driven innovation) | 0 % | 0 % |
| 028 | Technology transfer and cooperation between enterprises, research centres and higher education sector | 0 % | 0 % |
| 029 | Research and innovation processes, technology transfer and cooperation between enterprises, research centres and universities focusing on the low carbon economy, resilience and adaptation to climate change | 100 % | 40 % |
| 030 | Research and innovation processes, technology transfer and cooperation between enterprises focusing on circular economy | 40 % | 100 % |
| 031 | ICT: Very High‑Capacity broadband network (backbone/backhaul network) | 0 % | 0 % |
| 032 | ICT: Very High‑Capacity broadband network (access/local loop with a performance equivalent to an optical fibre installation up to the distribution point at the serving location for multi‑dwelling premises) | 0 % | 0 % |
| 033 | ICT: Very High‑Capacity broadband network (access/local loop with a performance equivalent to an optical fibre installation up to the distribution point at the serving location for homes and business premises)  | 0 % | 0 % |
| 034 | ICT: Very High‑Capacity broadband network (access/local loop with a performance equivalent to an optical fibre installation up to the base station for advanced wireless communication)  | 0 % | 0 % |
| 035 | ICT: Other types of ICT infrastructure (including large‑scale computer resources/equipment, data centres, sensors and other wireless equipment) | 0 % | 0 % |
| 036 | ICT: Other types of ICT infrastructure (including large‑scale computer resources/equipment, data centres, sensors and other wireless equipment) compliant with the carbon emission reduction and energy efficiency criteria (see footnote 4) | 40 % | 0 % |
| Policy objective 2: A greener, low‑carbon transitioning towards a net zero carbon economy and resilient Europe by promoting clean and fair energy transition, green and blue investment, the circular economy, climate change mitigation and adaptation, risk prevention and management, and sustainable urban mobility |
| 037 | Energy efficiency and demonstration projects in SMEs and supporting measures | 40 % | 40 % |
| 038 | Energy efficiency and demonstration projects in large enterprises and supporting measures | 40 % | 40 % |
| 039 | Energy efficiency and demonstration projects in SMEs or large enterprises and supporting measures compliant with energy efficiency criteria[[6]](#footnote-6) | 100 % | 40 % |
| 040 | Energy efficiency renovation of existing housing stock, demonstration projects and supporting measures | 40 % | 40 % |
| 041 | Energy efficiency renovation of existing housing stock, demonstration projects and supporting measures compliant with energy efficiency criteria[[7]](#footnote-7) | 100 % | 40 % |
| 042 | Construction of new energy efficient buildings[[8]](#footnote-8) | 40 % | 40 % |
| 043 | Energy efficiency renovation or energy efficiency measures regarding public infrastructure, demonstration projects and supporting measures | 40 % | 40 % |
| 044 | Energy efficiency renovation or energy efficiency measures regarding public infrastructure, demonstration projects and supporting measures compliant with energy efficiency criteria[[9]](#footnote-9) | 100 % | 40 % |
| 045 | Support to entities that provide services contributing to the low carbon economy and to resilience to climate change including awareness‑raising measures | 100 % | 40 % |
| 046 | Renewable energy: wind | 100 % | 40 % |
| 047 | Renewable energy: solar | 100 % | 40 % |
| 048 | Renewable energy: biomass[[10]](#footnote-10) | 40 % | 40 % |
| 049 | Renewable energy: biomass with high GHG savings[[11]](#footnote-11) | 100 % | 40 % |
| 050 | Renewable energy: marine | 100 % | 40 % |
| 051 | Other renewable energy (including geothermal energy) | 100 % | 40 % |
| 052 | Smart Energy Systems (including smart grids and ICT systems) and related storage | 100 % | 40 % |
| 053 | High efficiency co‑generation, district heating and cooling | 40 % | 40 % |
| 054[[12]](#footnote-12) | High efficiency co‑generation, efficient district heating and cooling with low lifecycle emissions[[13]](#footnote-13) | 100 % | 40 % |
| 055 | Replacement of coal‑based heating systems by gas‑based heating systems for climate mitigation purposes | 0 % | 0 % |
| 056 | Distribution and transport of natural gas substituting coal | 0 % | 0 % |
| 057 | Adaptation to climate change measures and prevention and management of climate related risks: floods and landslides (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches) | 100 % | 100 % |
| 058 | Adaptation to climate change measures and prevention and management of climate related risks: fires (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches) | 100 % | 100 % |
| 059 | Adaptation to climate change measures and prevention and management of climate related risks: others, e.g. storms and drought (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches) | 100 % | 100 % |
| 060 | Risk prevention and management of non‑climate related natural risks (i.e. earthquakes) and risks linked to human activities (e.g. technological accidents), including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches | 0 % | 100 % |
| 061 | Provision of water for human consumption (extraction, treatment, storage and distribution infrastructure, efficiency measures, drinking water supply) | 0 % | 100 % |
| 062 | Provision of water for human consumption (extraction, treatment, storage and distribution infrastructure, efficiency measures, drinking water supply) compliant with efficiency criteria[[14]](#footnote-14) | 40 % | 100 % |
| 063 | Water management and water resource conservation (including river basin management, specific climate change adaptation measures, reuse, leakage reduction) | 40 % | 100 % |
| 064 | Waste water collection and treatment | 0 % | 100 % |
| 065 | Waste water collection and treatment compliant with energy efficiency criteria[[15]](#footnote-15) | 40 % | 100 % |
| 066 | Household waste management: prevention, minimisation, sorting, reuse, recycling measures | 40 % | 100 % |
| 067 | Household waste management: residual waste treatment  | 0 % | 100 % |
| 068 | Commercial, industrial waste management: prevention, minimisation, sorting, reuse, recycling measures | 40 % | 100 % |
| 069 | Commercial, industrial waste management: residual and hazardous waste | 0 % | 100 % |
| 070 | Promoting the use of recycled materials as raw materials | 0 % | 100 % |
| 071 | Use of recycled materials as raw materials compliant with the efficiency criteria[[16]](#footnote-16) | 100 % | 100 % |
| 072 | Rehabilitation of industrial sites and contaminated land | 0 % | 100 % |
| 073 | Rehabilitation of industrial sites and contaminated land compliant with efficiency criteria[[17]](#footnote-17) | 40 % | 100 % |
| 074 | Support to environmentally‑friendly production processes and resource efficiency in SMEs | 40 % | 40 % |
| 075 | Support to environmentally‑friendly production processes and resource efficiency in large enterprises | 40 % | 40 % |
| 076 | Air quality and noise reduction measures | 40 % | 100 % |
| 077 | Protection, restoration and sustainable use of Natura 2000 sites | 40 % | 100 % |
| 078 | Nature and biodiversity protection, natural heritage and resources, green and blue infrastructure | 40 % | 100 % |
| 079 | Other measures to reduce greenhouse gas emissions in the area of preservation and restoration of natural areas with high potential for carbon absorption and storage, e.g. by rewetting of moorlands, the capture of landfill gas | 100 % | 100 % |
| 080 | Clean urban transport infrastructure[[18]](#footnote-18) | 100 % | 40 % |
| 081 | Clean urban transport rolling stock[[19]](#footnote-19) | 100 % | 40 % |
| 082 | Cycling infrastructure | 100 % | 100 % |
| 083 | Digitalisation of urban transport | 0 % | 0 % |
| 084 | Digitalisation of transport when dedicated in part to GHG emissions reduction: urban transport | 40 % | 0 % |
| 085 | Alternative fuels infrastructure[[20]](#footnote-20) | 100 % | 40 % |
| Policy objective 3: A more connected Europe by enhancing mobility |
| 086[[21]](#footnote-21) | Newly built or upgraded motorways and roads ‑ TEN‑T core network | 0 % | 0 % |
| 087 | Newly built or upgraded motorways and roads ‑ TEN‑T comprehensive network | 0 % | 0 % |
| 088 | Newly built or upgraded secondary road links to TEN‑T road network and nodes | 0 % | 0 % |
| 089 | Newly built or upgraded other national, regional and local access roads | 0 % | 0 % |
| 090 | Reconstructed or modernised motorways and roads ‑ TEN‑T core network | 0 % | 0 % |
| 091 | Reconstructed or modernised motorways and roads ‑ TEN‑T comprehensive network | 0 % | 0 % |
| 092 | Other reconstructed or modernised roads (motorway, national, regional or local) | 0 % | 0 % |
| 093 | Digitalisation of transport: road | 0 % | 0 % |
| 094 | Digitalisation of transport when dedicated in part to GHG emissions reduction: road | 40 % | 0 % |
| 095 | Newly built or upgraded railways ‑ TEN‑T core network | 100 % | 40 % |
| 096 | Newly built or upgraded railways ‑ TEN‑T comprehensive network | 100 % | 40 % |
| 097 | Other newly built or upgraded railways | 40 % | 40 % |
| 098 | Other newly built or upgraded railways – electric/zero emission[[22]](#footnote-22) | 100 % | 40 % |
| 099 | Reconstructed or modernised railways ‑ TEN‑T core network | 100 % | 40 % |
| 100 | Reconstructed or modernised railways ‑ TEN‑T comprehensive network | 100 % | 40 % |
| 101 | Other reconstructed or modernised railways | 40 % | 40 % |
| 102 | Other reconstructed or modernised railways – electric/zero emission (see footnote 20) | 100 % | 40 % |
| 103 | Digitalisation of transport: rail | 40 % | 0 % |
| 104 | European Rail Traffic Management System (ERTMS) | 40 % | 40 % |
| 105 | Mobile rail assets | 0 % | 40 % |
| 106 | Mobile zero emission/electric powered[[23]](#footnote-23) rail assets | 100 % | 40 % |
| 107 | Multimodal transport (TEN‑T) | 40 % | 40 % |
| 108 | Multimodal transport (not urban) | 40 % | 40 % |
| 109 | Seaports (TEN‑T) | 0 % | 0 % |
| 110 | Seaports (TEN‑T) excluding facilities dedicated to transport of fossil fuels | 40 % | 0 % |
| 111 | Other seaports | 0 % | 0 % |
| 112 | Other seaports excluding facilities dedicated to transport of fossil fuels  | 40 % | 0 % |
| 113 | Inland waterways and ports (TEN‑T) | 0 % | 0 % |
| 114 | Inland waterways and ports (TEN‑T) excluding facilities dedicated to transport of fossil fuels | 40 % | 0 % |
| 115 | Inland waterways and ports (regional and local) | 0 % | 0 % |
| 116 | Inland waterways and ports (regional and local) excluding facilities dedicated to transport of fossil fuels  | 40 % | 0 % |
| 117 | Security, safety and air traffic management systems, for existing airports | 0 % | 0 % |
| 118 | Digitising transport: other transport modes | 0 % | 0 % |
| 119 | Digitising transport when dedicated in part to GHG emissions reduction: other transport modes | 40 % | 0 % |
| Policy objective 4: A more social and inclusive Europe implementing the European Pillar of Social Rights |
| 120 | Infrastructure for early childhood education and care  | 0 % | 0 % |
| 121 | Infrastructure for primary and secondary education | 0 % | 0 % |
| 122 | Infrastructure for tertiary education | 0 % | 0 % |
| 123 | Infrastructure for vocational education and training and adult learning | 0 % | 0 % |
| 124 | Housing infrastructure for migrants, refugees and persons under or applying for international protection | 0 % | 0 % |
| 125 | Housing infrastructure (other than for migrants, refugees and persons under or applying for international protection) | 0 % | 0 % |
| 126 | Other social infrastructure contributing to social inclusion in the community | 0 % | 0 % |
| 127 | Health infrastructure | 0 % | 0 % |
| 128 | Health equipment | 0 % | 0 % |
| 129 | Health mobile assets | 0 % | 0 % |
| 130 | Digitalisation in health care | 0 % | 0 % |
| 131 | Temporary reception infrastructure for migrants, refugees and persons under or applying for international protection | 0 % | 0 % |
| 132 | Measures to improve access to employment | 0 % | 0 % |
| 133 | Measures to promote access to employment of long‑term unemployed | 0 % | 0 % |
| 134 | Specific support for youth employment and socio‑economic integration of young people  | 0 % | 0 % |
| 135 | Support for self‑employment and business start‑up  | 0 % | 0 % |
| 136 | Support for social economy and social enterprises  | 0 % | 0 % |
| 137 | Measures to modernise and strengthen labour market institutions and services to assess and anticipate skills needs and to ensure timely and tailor‑made assistance  | 0 % | 0 % |
| 138 | Support for labour market matching and transitions  | 0 % | 0 % |
| 139 | Support for labour mobility  | 0 % | 0 % |
| 140 | Measures to promote women’s labour market participation and reducing gender‑based segregation in the labour market  | 0 % | 0 % |
| 141 | Measures promoting work‑life balance, including access to childcare and care for dependent persons  | 0 % | 0 % |
| 142 | Measures for a healthy and well–adapted working environment addressing health risks, including promotion of physical activity | 0 % | 0 % |
| 143 | Support for the development of digital skills | 0 % | 0 % |
| 144 | Support for adaptation of workers, enterprises and entrepreneurs to change | 0 % | 0 % |
| 145 | Measures encouraging active and healthy ageing | 0 % | 0 % |
| 146 | Support for early childhood education and care (excluding infrastructure) | 0 % | 0 % |
| 147 | Support for primary to secondary education (excluding infrastructure) | 0 % | 0 % |
| 148 | Support for tertiary education (excluding infrastructure) | 0 % | 0 % |
| 149 | Support for adult education (excluding infrastructure) | 0 % | 0 % |
| 150 | Measures to promote equal opportunities and active participation in society | 0 % | 0 % |
| 151 | Pathways to integration and re‑entry into employment for disadvantaged people  | 0 % | 0 % |
| 152 | Measures to improve access of marginalised groups such as the Roma to education, employment and to promote their social inclusion | 0 % | 0 % |
| 153 | Support to the civil society working with marginalised communities such as the Roma | 0 % | 0 % |
| 154 | Specific actions to increase participation of third‑country nationals in employment | 0 % | 0 % |
| 155 | Measures for the social integration of third‑country nationals | 0 % | 0 % |
| 156 | Measures to enhancing the equal and timely access to quality, sustainable and affordable services | 0 % | 0 % |
| 157 | Measures to enhancing the delivery of family and community‑based care services | 0 % | 0 % |
| 158 | Measures to improve the accessibility, effectiveness and resilience of healthcare systems (excluding infrastructure) | 0 % | 0 % |
| 159 | Measures to improve access to long‑term care (excluding infrastructure) | 0 % | 0 % |
| 160 | Measures to modernise social protection systems, including promoting access to social protection | 0 % | 0 % |
| 161 | Promoting social integration of people at risk of poverty or social exclusion, including the most deprived and children | 0 % | 0 % |
| 162 | Addressing material deprivation through food and/or material assistance to the most deprived, including accompanying measures | 0 % | 0 % |
| Policy objective 5: A Europe closer to citizens by fostering the sustainable and integrated development of all types of territories and local initiatives |
| 163 | Protection, development and promotion of public tourism assets and tourism services | 0 % | 0 % |
| 164 | Protection, development and promotion of cultural heritage and cultural services | 0 % | 0 % |
| 165 | Protection, development and promotion of natural heritage and eco‑tourism other than Natura 2000 sites | 0 % | 100 % |
| 166 | Physical regeneration and security of public spaces | 0 % | 0 % |
| 167 | Territorial development initiatives, including preparation of territorial strategies | 0 % | 0 % |
| Other codes related to policy objectives 1‑5 |
| 168 | Improve the capacity of programme authorities and bodies linked to the implementation of the Funds | 0 % | 0 % |
| 169 | Enhancing cooperation with partners both within and outside the Member State | 0 % | 0 % |
| 170 | Cross‑financing under the ERDF (support to ESF‑type actions necessary for the implementation of the ERDF part of the operation and directly linked to it) | 0 % | 0 % |
| 171 | Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross‑border, transnational, maritime and inter‑regional context | 0 % | 0 % |
| 172 | Interreg: border crossing management and mobility and migration management | 0 % | 0 % |
| 173 | Outermost regions: compensation of any additional costs due to accessibility deficit and territorial fragmentation | 0 % | 0 % |
| 174 | Outermost regions: specific action to compensate additional costs due to size market factors | 0 % | 0 % |
| 175 | Outermost regions: support to compensate additional costs due to climate conditions and relief difficulties | 40 % | 40 % |
| 176 | Outermost regions: airports | 0 % | 0 % |
| Technical assistance |
| 177 | Information and communication | 0 % | 0 % |
| 178 | Preparation, implementation, monitoring and control | 0 % | 0 % |
| 179 | Evaluation and studies, data collection | 0 % | 0 % |
| 180 | Reinforcement of the capacity of Member State authorities, beneficiaries and relevant partners | 0 % | 0 % |

TABLE 2: CODES FOR THE FORM OF SUPPORT DIMENSION**\***

|  |
| --- |
| FORM OF SUPPORT |
| 01 | Grant |
| 02 | Support through financial instruments: equity or quasi‑equity |
| 03 | Support through financial instruments: loan  |
| 04 | Support through financial instruments: guarantee  |
| 05 | Support through financial instruments: Grants within a financial instrument operation |
| 06 | Prize |

**\*** Table 2 of Annex I is applicable to the EMFAF for the purpose of Table 128 of Annex VII.

TABLE 3: CODES FOR THE TERRITORIAL DELIVERY MECHANISM AND TERRITORIAL FOCUS DIMENSION

|  |
| --- |
| TERRITORIAL DELIVERY MECHANISM AND TERRITORIAL FOCUS |
| Integrated territorial investment (ITI) | ITI focused on sustainable urban development |
| 01 | Urban neighbourhoods | x |
| 02 | Cities, towns and suburbs | x |
| 03 | Functional urban areas | x |
| 04 | Rural areas |  |
| 05 | Mountainous areas |  |
| 06 | Islands and coastal areas |  |
| 07 | Sparsely populated areas |  |
| 08 | Other types of territories targeted |  |
| Community led local development (CLLD) | CLLD focused on sustainable urban development |
| 09 | Urban neighbourhoods | x |
| 10 | Cities, towns and suburbs | x |
| 11 | Functional urban areas | x |
| 12 | Rural areas |  |
| 13 | Mountainous areas |  |
| 14 | Islands and coastal areas |  |
| 15 | Sparsely populated areas  |  |
| 16 | Other types of territories targeted |  |
| Other type of territorial tool under Policy Objective 5 | Other type of territorial tool focused on sustainable urban development |
| 17 | Urban neighbourhoods | x |
| 18 | Cities, towns and suburbs | x |
| 19 | Functional urban areas | x |
| 20 | Rural areas |  |
| 21 | Mountainous areas |  |
| 22 | Islands and coastal areas |  |
| 23 | Sparsely populated areas |  |
| 24 | Other types of territories targeted |  |
| Other approaches[[24]](#footnote-24) |
| 25 | Urban neighbourhoods |
| 26 | Cities, towns and suburbs |
| 27 | Functional urban areas |
| 28 | Rural areas |
| 29 | Mountainous areas |
| 30 | Islands and coastal areas |
| 31 | Sparsely populated areas |
| 32 | Other types of territories targeted |
| 33 | No territorial targeting |

TABLE 4: CODES FOR THE ECONOMIC ACTIVITY DIMENSION

|  |  |
| --- | --- |
| 01 | Agriculture and forestry  |
| 02 | Fisheries |
| 03 | Aquaculture |
| 04 | Other blue economy sectors |
| 05 | Manufacture of food products and beverages  |
| 06 | Manufacture of textiles and textile products  |
| 07 | Manufacture of transport equipment  |
| 08 | Manufacture of computer, electronic and optical products  |
| 09 | Other unspecified manufacturing industries  |
| 10 | Construction |
| 11 | Mining and quarrying |
| 12 | Electricity, gas, steam, hot water and air conditioning  |
| 13 | Water supply, sewerage, waste management and remediation act |
| 14 | Transport and storage |
| 15 | Information and communication activities, including telecomm |
| 16 | Wholesale and retail trade |
| 17 | Tourism, accommodation and food service activities |
| 18 | Financial and insurance activities |
| 19 | Real estate, renting and business services activities  |
| 20 | Public administration  |
| 21 | Education  |
| 22 | Human health activities  |
| 23 | Social work activities, community, social and personal services |
| 24 | Activities linked to the environment  |
| 25 | Arts, entertainment, creative industries and recreation |
| 26 | Other unspecified services |

TABLE 5: CODES FOR THE LOCATION DIMENSION

|  |
| --- |
| LOCATION |
| Code | Location |
|  | Code of region or area where operation is located/carried out, as set out in the Classification of Territorial Units for Statistics (NUTS) provided in Annex I to Regulation (EC) No 1059/2003 of the European Parliament and of the Council[[25]](#footnote-25), as last amended by Commission Regulation (EU) No 2016/2066.  |

TABLE 6: CODES FOR ESF+ SECONDARY THEMES

|  |  |
| --- | --- |
| ESF+ SECONDARY THEME | Coefficient for the calculation of support to climate change objectives |
| 01 | Contributing to green skills and jobs and the green economy | 100 % |
| 02 | Developing digital skills and jobs | 0 % |
| 03 | Investing in research and innovation and smart specialisation | 0 % |
| 04 | Investing in small and medium‑sized enterprises (SMEs) | 0 % |
| 05 | Non‑discrimination | 0 % |
| 06 | Addressing child poverty  | 0 % |
| 07 | Capacity building of social partners | 0 % |
| 08 | Capacity building of the civil society organisations | 0 % |
| 09 | Not applicable | 0 % |
| 10 | Addressing challenges identified in the European Semester**\*** | 0 % |

**\*** Including in their national reform programmes as well as in the relevant country‑specific recommendations (adopted in accordance with Article 121(2) TFEU and Article 148(4) TFEU).

TABLE 7: CODES FOR ESF+/ERDF/ CF/ JTF GENDER EQUALITY DIMENSION

|  |  |
| --- | --- |
| ESF+/ERDF/ CF/ JTF gender equality dimension | Coefficient for the calculation of support to gender equality |
| 01 | Gender targeting | 100 % |
| 02 | Gender mainstreaming | 40 % |
| 03 | Gender neutral | 0 % |

TABLE 8: CODES FOR THE MACRO‑REGIONAL AND SEA BASIN STRATEGIES

|  |
| --- |
| MACRO‑REGIONAL AND SEA BASIN STRATEGIES |
| 01 | Adriatic & Ionian Region Strategy |
| 02 | Alpine Region Strategy |
| 03 | Baltic Sea Region Strategy |
| 04 | Danube Region Strategy |
| 05 | Arctic Ocean |
| 06 | Atlantic Strategy |
| 07 | Black Sea |
| 08 | Mediterranean Sea |
| 09 | North Sea |
| 10 | Western Mediterranean Strategy |
| 11 | No contribution to macro‑regional or sea basin strategies |

ANNEX II

Template for Partnership Agreement - Article 10(6)[[26]](#footnote-26)

Reference: Article 10(5) CPR. The justifications and text fields under points 1-10 of this Annex amount to no more than 35 pages, whereby one page contains on average 3 000 characters without spaces.

|  |  |
| --- | --- |
| CCI | [15 characters][[27]](#footnote-27) |
| Title | [255] |
| Version |  |
| First year | [4] |
| Last year | [4] |
| Commission decision number |  |
| Commission decision date |  |

1. Selection of policy objectives and the JTF specific objective

Reference: Article 11(1), point (a) CPR

Table 1: Selection of policy objective and JTF specific objective with justification

|  |  |  |  |
| --- | --- | --- | --- |
| Selected objective | Programme | Fund | Justification for selection of a policy objective or JTF specific objective |
|  |  |  | [3 500 per objective] |

2. Policy choices, coordination and complementarity**\***

Reference: Article 11(1), point (b), subpoints (i)-(iii) CPR

A summary of the policy choices and the main results expected for each of the funds covered by the Partnership Agreement – Article 11(1), point (b), subpoint (i) CPR

|  |
| --- |
| Text field  |

Coordination, demarcation and complementarities between the Funds and, where appropriate, coordination between national and regional programmes – Article 11(1), point (b), subpoint (ii) CPR

|  |
| --- |
| Text field  |

Complementarities and synergies between the funds covered by the Partnership Agreement, the AMIF, the ISF, the BMVI, and other Union instruments – Article 11(1), point (b), subpoint (iii) CPR

|  |
| --- |
| Text field  |

**\*** The total length of the text inserted in the three text fields above shall be between 10 000 and 30 000 characters.

3. Contribution to the budgetary guarantee under InvestEU with justification[[28]](#footnote-28)**\***

Reference: Article 11(1), point (f) CPR; Article 14 CPR

Table 1: Contribution to InvestEU (breakdown by year)

|  |  |  |
| --- | --- | --- |
| Contribution from | Contribution to | Breakdown by year |
| Fund | Category of region | InvestEU window(s) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| ERDF | More developed |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |
| CF | N/A |  |  |  |  |  |  |  |  |  |
| EMFAF | N/A |  |  |  |  |  |  |  |  |  |

Table 2: Contribution to InvestEU\* (summary)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | Category of regions\* | Window 1 Sustainable Infrastructure | Window 2 Research, Innovation and Digitisation | Window 3 SME | Window 4 Social Investment and Skills | Total |
|  |  | (a) | (b) | (c) | (d) | (f)=(a)+(b)+(c)+(d) |
| ERDF | More developed |  |  |  |  |  |
| Less developed |  |  |  |  |  |
| Transition |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |
| Less developed |  |  |  |  |  |
| Transition |  |  |  |  |  |
| CF |  |  |  |  |  |  |
| EMFAF |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |
| Text field [3 500] (justification taking into account how those amounts contribute to the achievement of policy objectives selected in the Partnership Agreement in line with Art. 10(1) of the InvestEU Regulation) |

4. Transfers[[29]](#footnote-29)

|  |  |
| --- | --- |
| MS requests a | [ ]  transfer between categories of region |
| [ ]  transfer to instruments under direct or indirect management |
|  | [ ]  transfer between ERDF, ESF+, Cohesion Fund or to another Fund or Funds |
|  | [ ]  transfer of ERDF and ESF+ resources as complementary support to the Just Transition Fund |
|  | [ ]  transfers from European Territorial Cooperation to Investment for Jobs and Growth  |

4.1. Transfer between categories of region

Reference: Article 11(1), point (d), Article 111 CPR

Table 1: Transfers between categories of region (breakdown by year)

|  |  |  |
| --- | --- | --- |
| Transfer from | Transfer to | Breakdown by year |
| Category of region | Category of region | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| More developed | More developed /Transition /Less developed |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |

Table 2. Transfer between categories of region (summary)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Category of region | Allocation by category of region | Transfer to: | Transfer amount | Share of the initial allocation transferred | Allocation by category of region after the transfer |
| Less developed  |  | More developed |  |  |  |
| Transition  |  |  |  |
| More developed  |  | Transition |  |  |  |
| Less developed  |  |  |  |
| Transition |  | More developed |  |  |  |
| Less developed |  |  |  |

|  |
| --- |
| Text field [3 500] (justification) |

4.2. Transfers to instruments under direct or indirect management

Reference: Article 26(1)

Table 1: Transfers to instruments under direct or indirect management where such possibility is provided for in the basic act**\*** (breakdown by year)

|  |  |  |
| --- | --- | --- |
| Transfer from | Transfer to | Breakdown by year |
| Fund | Category of region | Instrument | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| ERDF  | More developed |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |
| CF | N/A |  |  |  |  |  |  |  |  |  |
| EMFAF | N/A |  |  |  |  |  |  |  |  |  |

**\*** Transfers may be made to any other instrument under direct or indirect management, where such possibility is provided for in the basic act. Number and names of the relevant EU instruments will be specified accordingly.

Table 2: Transfers to instruments under direct or indirect management where such possibility is provided for in the basic act**\*** (summary)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Fund | Category of regions | Instrument 1 | Instrument 2 | Instrument 3 | Instrument 4 | Instrument 5 | Total |
| ERDF | More developed |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |
| CF |  |  |  |  |  |  |  |
| EMFAF |  |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |  |

**\*** Transfers may be made to any other instrument under direct or indirect management, where such possibility is provided for in the basic act. Number and names of the relevant EU instruments will be specified accordingly.

|  |
| --- |
| Text field [3 500] (justification) |

4.3. Transfers between ERDF, ESF+ and Cohesion Fund or to another Fund or Funds

Reference: Article 26(1)

Table 1: Transfers between ERDF, ESF+ and CF and to other Fund or Funds**\*** (breakdown by year)

|  |  |  |
| --- | --- | --- |
| Transfers from | Transfers to | Breakdown by year |
| Fund | Category of region | Fund | Category of region (where relevant) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| ERDF | More developed | ERDF, ESF+ or CF, EMFAF, AMF, ISF, BMVI |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |
| CF | N/A |  |  |  |  |  |  |  |  |  |
| EMFAF | N/A |  |  |  |  |  |  |  |  |  |

**\*** Transfers between ERDF and ESF+ can only be done within the same category of region.

Table 2: Transfers between ERDF, ESF+ and Cohesion Fund or to another Fund or Funds (summary)**\***

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Transfer to/Transfer from | ERDF | ESF+ | CF | EMFAF | AMF | ISF | BMVI | Total |
| More developed | Transition | Less developed | More developed | Transition | Less developed |
| ERDF | More developed |  |  |  |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |  |  |  |  |  |  |
| Transition  |  |  |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |  |  |
| CF |  |  |  |  |  |  |  |  |  |  |  |  |  |
| EMFAF |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |  |  |  |  |  |  |  |

**\*** Transfer to other programmes. Transfers between ERDF and ESF+ can only be done within the same category of region.

|  |
| --- |
| Text field [3 500] (justification) |

4.4. Transfer of ERDF and ESF+ resources as complementary support to the Just Transition Fund, with justification

Reference: Article 27

Table 1: Transfer of ERDF and ESF+ resources as complementary support to the Just Transition Fund (breakdown by year)

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Fund | Category of region | Fund | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| ERDF  | More developed | JTF**\*** |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |
| ESF+ | More developed | JTF |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |

**\*** JTF resources should be complemented with ERDF or ESF+ resources of the category of region where the concerned territory is located.

Table 2: Transfer of ERDF and ESF+ resources as complementary support to the Just Transition Fund (summary)

|  |  |
| --- | --- |
|  | JTF Article 3 allocation prior to transfers |
|  |
| Transfers to JTF to the territory located in**\***: |
| Transfer (complementary support) per category of region from: |  |
| ERDF | More developed |  |
| Transition |  |
| Less developed |  |
| ESF+ | More developed |  |
| Transition |  |
| Less developed |  |
| Total  | More developed |  |
|  | Transition |  |
|  | Less developed |  |

**\*** JTF resources should be complemented with ERDF or ESF+ resources of the category of regions where the concerned territory is located.

|  |
| --- |
| Text field [3 500] (justification) |

4.5. Transfers from European Territorial Cooperation to Investment for Jobs and Growth

Reference Article 111(3) CPR

Table 1: Transfers from European Territorial Cooperation to Investment for Jobs and Growth

|  |
| --- |
| Transfer from European territorial cooperation goal |
|  | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| Cross-border |  |  |  |  |  |  |  |  |
| Transnational |  |  |  |  |  |  |  |  |
| Outermost |  |  |  |  |  |  |  |  |
| Transfer to Investment for jobs and growth goal |
| Fund | Category of region | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| ERDF  | More developed |  |  |  |  |  |  |  |  |
|  | Transition |  |  |  |  |  |  |  |  |
|  | Less developed |  |  |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |
| JTF |  |  |  |  |  |  |  |  |  |
| CF |  |  |  |  |  |  |  |  |  |

|  |
| --- |
| Text field [3 500] (justification) |

5. The form of Union contribution for technical assistance

Reference Article 11(1), point (e) CPR

|  |  |
| --- | --- |
| The choice of the form of the Union contribution to technical assistance | [ ]  Technical assistance pursuant to Article 30(4)**\*** |
| [ ]  Technical assistance pursuant to Article 30(5)**\*\*** |

**\*** If chosen table 1 of section 8 is to be filled in.

**\*\*** If chosen table 2 of section 8 is to be filled in.

|  |
| --- |
| Text field [3 500] (justification) |

6. Thematic concentration

6.1.

Reference: Article 4(3) ERDF/CF

|  |  |
| --- | --- |
| MS decides to | [ ]  comply with thematic concentration at national level |
| [ ]  comply with thematic concentration at category of region level |
| [ ]  take into account Cohesion Fund resources for the purpose of thematic concentration  |

6.2.

Reference: Article 11(1), point (c) CPR and Article 7 ESF+

|  |  |  |
| --- | --- | --- |
| MS complies with thematic concentration requirements  | … % social inclusionProgrammed under specific objectives (h) – (l) | Planned ESF+ programmes12 |
| … % support to the most deprivedProgrammed under specific objectives (m), and in duly justified cases (l) | Planned ESF+ programmes12 |
| … % support to youth employmentProgrammed under specific objectives (a), (f) and (l) | Planned ESF+ programmes12 |
|  | … % support to tackling child povertyProgrammed under specific objectives (f), (h) – (l) | Planned ESF+ programmes12 |
| …% capacity building social partners and NGO’sProgrammed under all specific objectives except (m) | Planned ESF+ programmes12 |

7. Preliminary financial allocation from each of the funds covered by the Partnership Agreement by policy objective, JTF specific objective and technical assistance, at national and where appropriate regional level**\***

Reference: Article 11(1), point (c) CPR

Table 1: Preliminary financial allocation from ERDF, CF, JTF, ESF+, EMFAF by policy objective, JTF specific objective and technical assistance**\***

| Policy objectives, JTF specific objective or technical assistance | ERDF | Cohesion Fund allocation at national level | JTF**\*\*\*** | ESF+ | EMFAF allocation at national level | Total |
| --- | --- | --- | --- | --- | --- | --- |
| Allocation at national level | Category of regions**\*\*** | Allocation by category of region | Allocation at national level | Article 3 JTF resources | Article 4 JTF resources | Allocation at national level | Category of regions**\*\*** | Allocation by category of region |  |  |
| Policy objective 1 |  | More developed |  |  |  |  |  |  | More developed |  |  |  |
| Transition |  |  |  |  | Transition |  |
| Less developed |  |  |  |  | Less developed |  |
| Outermost and northern sparsely populated |  |  |  |  | Outermost and northern sparsely populated |  |
| Policy objective 2 |  | More developed |  |  |  |  |  |  | More developed |  |  |  |
| Transition |  |  |  |  | Transition |  |
| Less developed |  |  |  |  | Less developed |  |
| Outermost and northern sparsely populated |  |  |  |  | Outermost and northern sparsely populated |  |
| Policy objective 3 |  | More developed |  |  |  |  |  |  | More developed |  |  |  |
| Transition |  |  |  |  | Transition |  |
| Less developed |  |  |  |  | Less developed |  |
| Outermost and northern sparsely populated |  |  |  |  | Outermost and northern sparsely populated |  |
| Policy objective 4 |  | More developed |  |  |  |  |  |  | More developed |  |  |  |
| Transition |  |  |  |  | Transition |  |
| Less developed |  |  |  |  | Less developed |  |
| Outermost and northern sparsely populated |  |  |  |  | Outermost and northern sparsely populated |  |
| Policy objective 5 |  | More developed |  |  |  |  |  |  | More developed |  |  |  |
| Transition |  |  |  |  | Transition |  |
| Less developed |  |  |  |  | Less developed |  |
| Outermost and northern sparsely populated |  |  |  |  | Outermost and northern sparsely populated |  |
| JTF specific objective |  |  |  |  |  |  |  |  |
| Technical assistance pursuant to Article 36(4), CPR (where relevant) |  | More developed |  |  |  |  |  |  | More developed |  |  |  |
| Transition |  | Transition |  |
| Less developed |  | Less developed |  |
| Outermost and northern sparsely populated |  | Outermost and northern sparsely populated |  |
| Technical assistance pursuant to Article 36(5) CPR (where relevant) |  | More developed |  |  |  |  |  |  | More developed |  |  |  |
| Transition |  | Transition |  |
| Less developed |  | Less developed |  |
| Outermost and northern sparsely populated |  | Outermost and northern sparsely populated |  |
| Technical assistance pursuant to Article 37, CPR (where relevant) |  | More developed |  |  |  |  |  |  | More developed |  |  |  |
| Transition |  | Transition |  |
| Less developed |  | Less developed |  |
| Outermost and northern sparsely populated |  | Outermost and northern sparsely populated |  |
| Total  |  | More developed |  |  |  |  |  |  | More developed |  |  |  |
| Transition |  |  |  |  | Transition |  |
| Less developed |  |  |  |  | Less developed |  |
| Outermost and northern sparsely populated |  |  |  |  | Outermost and northern sparsely populated |  |
| Article 7 JTF resources related to Article 3 JTF resources |  |  |  |  |  |  |  |  |  |  |  |  |
| Article 7 JTF resources related to Article 4 JTF resources |  |  |  |  |  |  |  |  | total |  |  |  |
| Total |  |  |  |  |  |  |  |  |  |  |  |  |

**\*** The amount should include the flexibility amounts in accordance with Article 18 CPR preliminary allocated. The actual allocation of the flexibility amounts will only be confirmed at the mid-term review.

**\*\*** The preliminary allocation at regional level should be indicated where appropriate, pursuant to Article 11(1), point (c).

**\*\*\*** JTF amounts after the envisaged complementary support from the ERDF and ESF+.

|  |
| --- |
| Text field [3 500] (justification) |

8. List of planned programmes under the funds covered by the Partnership agreement with the respective preliminary financial allocations by fund and the corresponding national contribution by category of region

Reference: Article 11(1), point (g) CPR; Article 110 CPR

Table 1. List of planned programmes[[30]](#footnote-30) with preliminary financial allocations**\***

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Title [255] | Fund | Category of regions | Union contribution | National contribution | Total |
|
| Programme**\*\*** 1 | ERDF | More developed |  |  |  |
| Transition |  |  |  |
| Less developed |  |  |  |
| Outermost and northern sparsely populated |  |  |  |
| Programme 2 | CF |  |  |  |  |
| Programme 3 | ESF+ | More developed |  |  |  |
| Transition |  |  |  |
| Less developed |  |  |  |
| Outermost and northern sparsely populated |  |  |  |
| Programme 4 | JTF – allocation(Article 3, JTF) |  |  |  |  |
| JTF allocation (Article 4 JTF) |  |  |  |  |
| Total | ERDF, CF, JTF, ESF+ |  |  |  |  |
| Programme 5 | EMFAF |  |  |  |  |
|  |  |  |  |  |  |

\* The amount should include the flexibility amounts in accordance with Article 18, CPR preliminary allocated. The actual allocation of the flexibility amounts will only be confirmed at the mid-term review.

\*\* Programmes may be multi-fund in line with Article 25(1) CPR (as priorities can be multi-fund in line with Article 22(2) CPR). Whenever JFT contributes to a programme, JTF allocation needs to include complementary transfers and be split to present amounts in accordance to Articles 3, and 4 JTF.

Table 2. List of planned programmes[[31]](#footnote-31) with preliminary financial allocations**\***

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Title [255] | Fund | Category of regions | Union contribution | National contribution | Total |
| Union contribution without TA pursuant to Article 36(5) | Union contribution for TA pursuant to Article 36(5) |  |  |
| Programme**\*\*** 1 | ERDF | More developed |  |  |  |  |
| Transition |  |  |  |  |
| Less developed |  |  |  |  |
| Outermost and northern sparsely populated |  |  |  |  |
| Programme 2 | CF |  |  |  |  |  |
| Programme 3 | ESF+ | More developed |  |  |  |  |
| Transition |  |  |  |  |
| Less developed |  |  |  |  |
| Outermost and northern sparsely populated |  |  |  |  |
| Programme 4 | JTF – allocation (Article 3, JTF) |  |  |  |  |  |
| JTF allocation (Article 4, JTF) |  |  |  |  |  |
| Total  | ERDF, CF, ESF+, JTF |  |  |  |  |  |
| Programme 5 | EMFAF |  |  |  |  |  |
| Total  | All funds |  |  |  |  |  |

**\*** The amount should include the flexibility amounts in accordance with Article 18, CPR preliminary allocated. The actual allocation of the flexibility amounts will only be confirmed at the mid-term review.

**\*\*** Programmes may be multi-fund in line with Article 25(1) CPR (as priorities can be multi-fund in line with Article 22(2) CPR). Whenever JFT contributes to a programme, JTF allocation needs to include complementary transfers and be split to present amounts in accordance to Article 3 and 4 JTF.

Reference: Article 11 CPR

Table 3. List of planned Interreg programmes

|  |  |
| --- | --- |
| Programme 1 | Title 1 [255] |
| Programme 2 | Title 1 [255] |

9. A summary of actions planned to reinforce administrative capacity of the implementation of the funds covered by the Partnership Agreement

Reference: Article 11(1), point (h) CPR

|  |
| --- |
| Text field [4 500] |

10. An integrated approach to address the demographic challenges and/or specific needs of regions and areas (where appropriate)

Reference: Article 11(1), point (i) CPR and Article 10 ERDF/CF

|  |
| --- |
| Text field [3 500] |

11. A summary of the assessment of the fulfilment of relevant enabling conditions referred to in Article 15 and Annexes III and IV (optional)

Reference: Article 11 CPR

Table 1: Enabling conditions

|  |  |  |  |
| --- | --- | --- | --- |
| Enabling condition | Fund | Selected specific objective(N/A to the EMFAF) | Summary of the assessment |
|  |  |  | [1 000] |

12. Preliminary climate contribution target

Reference: Article 6(2) CPR

|  |  |
| --- | --- |
| Fund | Preliminary climate contribution**\*** |
| ERDF |  |
| Cohesion Fund |  |

**\*** Corresponding to information included or to be included in programmes as a result of the types of intervention and the indicative financial breakdown pursuant to Article 22(3), point (d), subpoint (viii).

ANNEX III

Horizontal enabling conditions – Article 15(1)

|  |
| --- |
| Applicable to all specific objectives |
| Name of enabling conditions | Fulfilment criteria  |
| Effective monitoring mechanisms of the public procurement market | Monitoring mechanisms are in place that cover all public contracts and their procurement under the Funds in line with EU procurement legislation. This requirement includes:1. Arrangements to ensure compilation of effective and reliable data on public procurement procedures above the EU thresholds in accordance with reporting obligations under Article 83 and 84 of Directive 2014/24/EU and Article 99 and 100 of Directive 2014/25/EU.2. Arrangements to ensure the data cover at least the following elements:(a) Quality and intensity of competition: names of winning bidder, number of initial bidders and contractual value;(b) Information on final price after completion and on participation of SMEs as direct bidders, where national systems provide such information.3. Arrangements to ensure monitoring and analysis of the data by the competent national authorities in accordance with Article 83(2) of Directive 2014/24/EU and Article 99(2) of Directive 2014/25/EU. |
|  | 4. Arrangements to make the results of the analysis available to the public in accordance with article 83(3) of Directive 2014/24/EU and Article 99(3) Directive 2014/25/EU.5. Arrangements to ensure that all information pointing to suspected bid-rigging situations is communicated to the competent national bodies in accordance with Article 83(2) of Directive 2014/24/EU and Article 99(2) of Directive 2014/25/EU. |
| Tools and capacity for effective application of State aid rules | Managing authorities have the tools and capacity to verify compliance with State aid rules:1. For undertakings in difficulty and undertakings under a recovery requirement.2. Through access to expert advice and guidance on State aid matters, provided by State aid experts of local or national bodies. |
| Effective application and implementation of the Charter of Fundamental Rights | Effective mechanisms are in place to ensure compliance with the Charter of Fundamental Rights which include:1. Arrangements to ensure compliance of the programmes supported by the Funds and their implementation with the relevant provisions of the Charter.2. Reporting arrangements to the monitoring committee regarding cases of non-compliance of operations supported by the Funds with the Charter and complaints regarding the Charter submitted in accordance with the arrangements made pursuant to Article 69(7) CPR. |
| Implementation and application of the United Nations Convention on the rights of persons with disabilities (UNCRPD) in accordance with Council Decision 2010/48/EC  | A national framework to ensure implementation of the UNCRPD is in place that includes:1. Objectives with measurable goals, data collection and monitoring mechanisms.2. Arrangements to ensure that the accessibility policy, legislation and standards are properly reflected in the preparation and implementation of the programmes.3. Reporting arrangements to the monitoring committee regarding cases of non-compliance of operations supported by the Funds with the UNCRPD and complaints regarding the UNCRPD submitted in accordance with the arrangements made pursuant to Article 69(7) CPR. |

ANNEX IV

Thematic enabling conditions applicable to ERDF, ESF+ and the Cohesion Fund – Article 15(1)

| Policy objective | Specific objective | Name of enabling condition | Fulfilment criteria for the enabling condition |
| --- | --- | --- | --- |
| 1. A more competitive and smarter Europe by promoting innovative and smart economic transformation and regional ICT connectivity | ERDF:Developing and enhancing research and innovation capacities and the uptake of advanced technologiesDeveloping skills for smart specialisation, industrial transition and entrepreneurship | 1.1. Good governance of national or regional smart specialisation strategy | Smart specialisation strategy(ies) shall be supported by:1. Up-to-date analysis of challenges for innovation diffusion and digitalisation.2. Existence of competent regional / national institution or body, responsible for the management of the smart specialisation strategy.3. Monitoring and evaluation tools to measure performance towards the objectives of the strategy.4. Functioning of stakeholder co-operation (“entrepreneurial discovery process”).5. Actions necessary to improve national or regional research and innovation systems, where relevant. |
|  |  |  | 6. Where relevant, actions to support industrial transition.7. Measures for enhancing cooperation with partners outside a given Member State in priority areas supported by the smart specialisation strategy. |
|  | ERDF:Enhancing digital connectivity | 1.2. National or regional broadband plan | A national or regional broadband plan is in place which includes:1. An assessment of the investment gap that needs to be addressed to ensure that all Union citizens have access to very high capacity networks[[32]](#footnote-32), based on:(a) a recent mapping[[33]](#footnote-33) of existing private and public infrastructure and quality of service using standard broadband mapping indicators;(b) a consultation on planned investments in line with State aid requirements. |
|  |  |  | 2. The justification of planned public intervention on the basis of sustainable investment models that:(a) enhance affordability and access to open, quality and future-proof infrastructure and services;(b) adjust the forms of financial assistance to the market failures identified;(c) allow for a complementary use of different forms of financing from EU, national or regional sources.3. Measures to support demand and use of Very High Capacity (VHC) networks, including actions to facilitate their roll-out, in particular through the effective implementation of the Directive 2014/61/EU of the European Parliament and of the Council[[34]](#footnote-34).4. Technical assistance and expert advice mechanisms, such as a Broadband Competence Office, to reinforce the capacity of local stakeholders and advise project promoters.5. A monitoring mechanism based on standard broadband mapping indicators. |
| 2. A greener, low-carbon transitioning towards a net zero carbon economy and resilient Europe by promoting clean and fair energy transition, green and blue investment, the circular economy, climate change mitigation and adaptation, risk prevention and management, and sustainable urban mobility | ERDF and Cohesion Fund:Promoting energy efficiency and reducing greenhouse gas emissions | 2.1. Strategic policy framework to support energy efficiency renovation of residential and non-residential buildings | 1. A national long term renovation strategy to support the renovation of the national stock of residential and non-residential buildings is adopted, in line with the requirements of Directive (EU) 2018/844 amending Directive 2010/31/EU on the energy performance of buildings, which:(a) Entails indicative milestones for 2030, 2040 and 2050;(b) Provides an indicative outline of financial resources to support the implementation of the strategy;(c) Defines effective mechanisms for promoting investments in building renovation.2. Energy efficiency improvement measures to achieve required energy savings |
|  | ERDF and Cohesion Fund:Promoting energy efficiency and reducing greenhouse gas emissionsPromoting renewable energy in accordance with Directive (EU) 2018/2001[[35]](#footnote-35), including the sustainability criteria set out therein | 2.2. Governance of the energy sector  | The National Energy and Climate Plan is notified to the Commission, in accordance with the provisions of Article 3 of Regulation (EU) 2018/1999[[36]](#footnote-36) and in consistency with the long-term greenhouse gas emission reduction objectives under the Paris Agreement and includes:1. All elements required by the template in Annex I of Regulation (EU) 2018/1999.2. An outline of envisaged financial resources and mechanisms for measures promoting low-carbon energy.  |
|  | ERDF and Cohesion Fund:Promoting renewable energy in accordance with Directive (EU) 2018/2001, including the sustainability criteria set out therein | 2.3. Effective promotion of the use of renewable energy across sectors and across the EU  | Measures are in place which ensure:1. Compliance with the 2020 binding national renewable energy target and with this share of renewable energy as a baseline up to 2030 or having taken additional measures in case the baseline is not maintained over any one year period in accordance with the Directive (EU) 2018/2001 and Regulation (EU) 2018/19992. In accordance with the requirements of the Directive (EU) 2018/2001 and Regulation (EU) 2018/1999 an increase of the share of renewable in the heating and cooling sector in line with in Article 23 of the Directive (EU) 2018/2001 |
|  | ERDF and Cohesion Fund:Promoting climate change adaptation, and disaster risk prevention, resilience, taking into account eco-system based approaches  | 2.4. Effective disaster risk management framework. | A national or regional disaster risk management plan, established on the basis of risk assessments, taking due account of the likely impacts of climate change and the existing climate adaptation strategies, is in place and includes:1. A description of key risks, assessed in accordance with the provisions of Article 6(1) of Decision No 1313/2013/EU of the European Parliament and of the Council[[37]](#footnote-37), reflecting current and evolving risk profile with an indicative 25-35 years time-span. The assessment shall build, for climate related risks, on climate change projections and scenarios.2. Description of the disaster prevention, preparedness and response measures to address the key risks identified. The measures shall be prioritized in proportion to the risks and their economic impact, capacity gaps[[38]](#footnote-38), effectiveness and efficiency, taking into account possible alternatives.3. Information on financing resources and mechanisms available for covering the operation and maintenance costs related to prevention, preparedness and response. |
|  | ERDF and Cohesion Fund:Promoting access to water and sustainable water management | 2.5. Updated planning for required investments in water and wastewater sectors | For each or both sectors, a national investment plan is in place and includes:1. An assessment of the current state of implementation of the Council Directive 91/271/EEC[[39]](#footnote-39) and of the Council Directive 98/83/EC[[40]](#footnote-40).2. The identification and planning of any public investments, including an indicative financial estimation:(a) Required to implement the Directive 91/271/EEC, including a prioritization with regard to the size of agglomerations and the environmental impact, with investments broken down for each wastewater agglomeration;(b) Required to implement the Directive 98/83/EC;(c) Required to match the needs stemming from Directive (EU) 2020/2184[[41]](#footnote-41) , regarding in particular the revised quality parameters detailed in Annex I. |
|  |  |  | 3. An estimate of investments needed to renew existing wastewater and water supply infrastructure, including networks, based on their age and depreciation plans.4. An indication of potential sources of public financing, when needed to complement user charges.  |
|  | ERDF and Cohesion Fund:Promoting the transition to a circular and resource efficient economy | 2.6. Updated planning for waste management | Waste management plan(s) as referred to in Article 28 of Directive 2008/98/EC of the European Parliament and of the Council[[42]](#footnote-42) are in place and covering the entire territory of the Member State and include:1. An analysis of the current waste management situation in the geographical entity concerned, including the type, quantity and source of waste generated and an evaluation of their future development taking into account the expected impacts of measures set out in the Waste Prevention Programme(s) developed in accordance with Article 29 of Directive 2008/98/EC.2. An assessment of existing waste collection schemes, including the material and territorial coverage of separate collection and measures to improve its operation, as well as the need for new collection schemes. |
|  |  |  | 3. An investment gap assessment justifying the need for the closure of existing waste installations and additional or upgraded waste infrastructure, with an information of the sources of revenues available to meet operation and maintenance costs.4. Information on the location criteria for how future site locations identification will be determined and on the capacity of future waste treatment installations. |
|  | ERDF and Cohesion Fund:Enhancing protection and preservation of nature, biodiversity and green infrastructure,including in urban areas, and reducing all forms of pollution; | 2.7. Prioritised action framework for the necessary conversation measures involving Union co-financing. | For interventions supporting nature conservation measures in connection with Natura 2000 areas within the scope of Council Directive 92/43/EEC[[43]](#footnote-43):A priority action framework pursuant to Article 8 of Directive 92/43/EEC is in place and includes all elements required by the template for the priority action framework for 2021-2027 agreed by the Commission and the Member States, including the identification of the priority measures and an estimate of financing needs. |
| 3. A more connected Europe by enhancing mobility | ERDF and Cohesion Fund:Developing a , climate resilient, intelligent, secure, sustainable and intermodal TEN-T;Developing and enhancing sustainable, climate resilient, intelligent and intermodal national, regional and local mobility, including improved access to TEN-T and cross-border mobility | Comprehensive transport planning at the appropriate level | Multimodal mapping of existing and planned infrastructures, except at local level, until 2030 is in place which:1. Includes economic assessment of the planned investments, underpinned by demand analysis and traffic modelling, which should take into account the anticipated impact of the opening of the rail services markets.2. Is consistent with the transport related elements of the national energy and climate plan.3. Includes investments in TEN-T core network corridors, as defined by [Proposal for a regulation establishing the Connecting Europe Facility and repealing Regulations (EU) No 1316/2013], in line with the respective TEN-T core network corridors work plans.4. For investments outside the TEN-T core network corridors, including in cross-border sections, ensures complementarity by providing sufficient connectivity of the urban networks, regions and local communities to the core TEN-T and its nodes. |
|  |  |  | 5. Ensures interoperability of the rail network, and, where relevant, reports on the deployment of ERTMS according to Commission Implementing Regulation (EU) 2017/6[[44]](#footnote-44).6. Promotes multimodality, identifying needs for multimodal or transhipment freight and passengers terminals.7. Includes measures relevant for infrastructure planning aiming at promoting alternative fuels, in line with the relevant national policy frameworks.8. Presents the results of the assessment of road safety risks in line with existing national road safety strategies, together with a mapping of the affected roads and sections and providing with a prioritisation of the corresponding investments.9. Provides information on financing resources corresponding to the planned investments and required to cover operation and maintenance costs of the existing and planned infrastructures. |
| 4. A more social and inclusive Europe implementing the European Pillar of Social Rights  | ERDF:Enhancing the effectiveness of labour markets and access to quality employment trough developing social innovation and infrastructureESF+:Improving access to employment and activation measures of all jobseekers, in particular youth, especially through the implementation of the Youth Guarantee, long-term unemployed and disadvantaged groups on the labour market, and of inactive people, promoting self-employment and the social economy | 4.1. Strategic policy framework for active labour market policies | A strategic policy framework for active labour market policies in the light of the Employment guidelines is in place and includes:1. Arrangements for conducting jobseekers’ profiling and assessment of their needs.2. Information on job vacancies and employment opportunities taking into account the needs of the labour market.3. Arrangements for ensuring that its design, implementation, monitoring and review is conducted in close cooperation with relevant stakeholders.4. Arrangements for monitoring, evaluation and review of active labour market policies.5. For youth employment interventions, evidence-based and targeted pathways towards young people not in employment, education or training including outreach measures and based on quality requirements taking into account criteria for quality apprenticeships and traineeships, including in the context of Youth Guarantee schemes implementation. |
|  | Modernising labour market institutions and services to assess and anticipate skills needs and ensure timely and tailor-made assistance and support to labour market matching, transitions and mobility; |  |  |
|  | ERDF:Enhancing the effectiveness of labour markets and access to quality employment trough developing social innovation and infrastructureESF+: | 4.2. National strategic framework for gender equality  | A national strategic policy framework for gender equality is in place that includes:1. Evidence-based identification of challenges to gender equality.2. Measures to address gender gaps in employment, pay, pensions, and to promote work-life balance for women and men, including through improving access to early childhood education and care, with targets, while respecting the role and autonomy of the social partners. |
|  | Promoting a gender-balanced labour market participation, equal working conditions, and a better work/life balance including through access to affordable childcare, and care for dependent persons |  | 3. Arrangements for monitoring, evaluation and review of the strategic policy framework and data collection methods based on sex-disaggregated data.4. Arrangements for ensuring that its design, implementation, monitoring and review is conducted in close cooperation with relevant stakeholders, including equality bodies, social partners and civil society organisations.  |
|  | ERDF:Improving access to inclusive and quality services in education, training and lifelong learning through developing infrastructure;ESF+: | 4.3. Strategic policy framework for the education and training system at all levels. | A national or regional strategic policy framework for the education and training system is in place and includes:1. Evidence-based systems for skills anticipation and forecasting.2. Graduate tracking mechanisms and services for quality and effective guidance for learners of all ages.3. Measures to ensure equal access to, participation in and completion of quality, affordable, relevant, non-segregated and inclusive education and training, and acquisition of key competences at all levels including higher education. |
|  | Improving the quality, inclusiveness, effectiveness and labour market relevance of education and training systems including through validation of non-formal and informal learning, to support acquisition of key competences including entrepreneurial and digital skills, and by promoting the introduction of dual-training systems and apprenticeships; |  | 4. A coordination mechanism covering all levels of education and training, including higher education, and a clear assignment of responsibilities between the relevant national and/or regional bodies.5. Arrangements for monitoring, evaluation and review of the strategic policy framework.6. Measures to target low-skilled, low-qualified adults and those with disadvantaged socio-economic backgrounds and upskilling pathways.7. Measures to support teachers, trainers and academic staff as regards appropriate learning methods, assessment and validation of key competences.8. Measures to promote mobility of learners and staff and transnational collaboration of education and training providers, including through recognition of learning outcomes and qualifications. |
|  | Promoting lifelong learning, notably flexible upskilling and reskilling opportunities for all taking into account entrepreneurial and digital skills, better anticipating change and new skills requirements based on labour market needs, facilitating career transitions and promoting professional mobilityPromoting equal access to and completion of, quality and inclusive education and training, in particular for disadvantaged groups, from early childhood education and care through general and vocational education and training, and to tertiary level, as well as adult education and learning, including facilitating learning mobility for all and accessibility for persons with disabilities; |  |  |
|  | ERDF:Promoting the socioeconomic inclusion of marginalised communities, low income households and disadvantaged groups including people with special needs, through integrated actions including housing and social services;ESF+:Fostering active inclusion with a view to promoting equal opportunities, non-discrimination and active participation, and improving employability, in particular for disadvantaged groups; | 4.4. National strategic policy framework for social inclusion and poverty reduction | A national or regional strategic policy or legislative framework for social inclusion and poverty reduction is in place that includes:1. Evidence-based diagnosis of poverty and social exclusion, including child poverty, in particular as regards equal access to quality services for children in vulnerable situations as well as homelessness, spatial and educational segregation, limited access to essential services and infrastructure, and the specific needs of vulnerable people of all ages.2. Measures to prevent and combat segregation in all fields, including social protection, inclusive labour markets and access to quality services for vulnerable people, including migrants and refugees.3. Measures for the shift from institutional to family- and community-based care.4. Arrangements for ensuring that its design, implementation, monitoring and review is conducted in close cooperation with relevant stakeholders, including social partners and relevant civil society organisations. |
|  | ESF+:Promoting the socio-economic integration of marginalised communities such as Roma | 4.5. National Roma inclusion strategic policy framework | The National Roma inclusion strategic policy framework is in place that includes:1. Measures to accelerate Roma integration, prevent and eliminate segregation, taking into account the gender dimension and situation of young Roma, and sets baseline and measurable milestones and targets.2. Arrangements for monitoring, evaluation and review of the Roma integration measures.3. Arrangements for the mainstreaming of Roma inclusion at regional and local level.4. Arrangements for ensuring that its design, implementation, monitoring and review is conducted in a close cooperation with the Roma civil society and all other relevant stakeholders, including at the regional and local levels. |
|  | ERDF:Ensuring equal access to health care through developing infrastructure, including primary careESF+:Enhancing the equal and timely access to quality, sustainable and affordable services, including services that promote the access to housing and person-centred care including healthcare; modernising social protection systems, including promoting access to social protection, with a particular focus on children and disadvantaged groups; improving accessibility including for persons with disabilities, effectiveness and resilience of healthcare systems and long-term care services | 4.6. Strategic policy framework for health and long-term care. | A national or regional strategic policy framework for health is in place that contains:1. Mapping of health and long-term care needs, including in terms of medical and care staff, to ensure sustainable and coordinated measures.2. Measures to ensure the efficiency, sustainability, accessibility and affordability of health and long-term care services, including a specific focus on individuals excluded from the health and long-term care systems, including those who are hardest to reach.3. Measures to promote community and family- based services through de-institutionalisation, including prevention and primary care, home-care and community-based services. |

ANNEX V

Template for programmes supported from the ERDF (Investment for Jobs and growth goal),
ESF+, the JTF, the Cohesion Fund and the EMFAF – Article 21(3)

|  |  |
| --- | --- |
| CCI |  |
| Title in EN | [255 characters[[45]](#footnote-45)] |
| Title in national language(s) | [255] |
| Version |  |
| First year | [4] |
| Last year | [4] |
| Eligible from |  |
| Eligible until |  |
| Commission decision number |  |
| Commission decision date |  |
| Member State amending decision number |  |
| Member State amending decision entry into force date |  |
| Non substantial transfer (art. 19.5) | Yes/No |
| NUTS regions covered by the programme (not applicable to the EMFAF) |  |
| Fund concerned | [ ]  ERDF |
| [ ]  Cohesion Fund |
| [ ]  ESF+ |
| [ ]  JTF |
| [ ]  EMFAF |
| Programme | [ ]  under Investment for Jobs and Growth Goal for the outermost regions only |

1. Programme strategy: main development challenges and policy responses[[46]](#footnote-46)

Reference: Article 22(3), point (a), subpoints (i)-(viii) and (x) and Article 22(3), point (b)

|  |
| --- |
| Text field [30 000] |

For the Investment for Jobs and Growth goal:

Table 1

|  |  |  |
| --- | --- | --- |
| Policy objective or JTF specific objective | Specific objective or dedicated priority**\*** | Justification (summary) |
|  |  | [2 000 per specific objective or dedicated ESF+ priority or JTF specific objective] |

**\*** Dedicated priorities according to ESF+ Regulation.

For the EMFAF:

Table 1

|  |  |  |  |
| --- | --- | --- | --- |
| Policy objective | Priority | SWOT analysis (for each priority) | Justification (summary) |
|  |  | Strengths[10 000 per priority] | [20 000 per priority] |
| Weaknesses[10 000 per priority] |
| Opportunities[10 000 per priority] |
| Threats[10 000 per priority] |
| Identification of needs on the basis of the SWOT analysis and taking into account the elements set out in Article 9(5) of the EMFAF Regulation[10 000 per priority] |

2. Priorities

Reference: Articles 22(2) and 22(3), point (c)

2.1. Priorities other than technical assistance

2.1.1. Title of the priority [300] (repeated for each priority)

|  |
| --- |
| [ ]  This is a priority dedicated to youth employment |
| [ ]  This is a priority dedicated to innovative actions |
| [ ]  This is a priority dedicated to support to the most deprived under the specific objective set out in point (m) of Article 4(1) of the ESF+ Regulation**\*** |
| [ ]  This is a priority dedicated to support to the most deprived under the specific objective set out in point (l) of Article 4(1) of the ESF+ Regulation[[47]](#footnote-47) |
| [ ]  This is a priority dedicated to urban mobility specific objective set out in point (viii) of Article 3(1)(b) of the ERDF and Cohesion Fund Regulation |
| [ ]  This is a priority dedicated to ICT connectivity specific objective set out in point (v) of Article 3(1)(a) of the ERDF and Cohesion Fund Regulation |

**\*** If marked go to section 2.1.1.2.

2.1.1.1. Specific objective[[48]](#footnote-48) (repeated for each selected specific objective for priorities other than technical assistance)

2.1.1.1.1. Interventions of the Funds

Reference: Article 22(3), point (d), subpoints (i), (iii), (iv), (v), (vi), (vii) CPR;

The related types of actions – Article 22(3), point (d), subpoint (i) CPR; Article 6(2) ESF+ Regulation:

|  |
| --- |
| Text field [8 000] |

The main target groups - Article 22(3), point (d), subpoint (iii) CPR:

|  |
| --- |
| Text field [1 000] |

Actions safeguarding equality, inclusion and non-discrimination – Article 22(3), point (d), subpoint (iv) CPR

|  |
| --- |
| Text field [2 000] |

Indication of the specific territories targeted, including the planned use of territorial tools – Article 22(3), point (d), subpoint (v) CPR

|  |
| --- |
| Text field [2 000] |

The interregional, cross-border and transnational actions –Article – 22(3), point (d), subpoint (vi) CPR

|  |
| --- |
| Text field [2 000] |

The planned use of financial instruments – Article – 22(3), point (d), subpoint (vii) CPR

|  |
| --- |
| Text field [1 000] |

2.1.1.1.2. Indicators

Reference: Article 22(3), point (d), subpoint (ii), Article 8 ERDF, Article 23(2) ESF+

Table 1: Output indicators

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Priority | Specific objective | Fund | Category of region | ID [5] | Indicator [255] | Measurement unit | Milestone (2024) | Target (2029) |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |

Reference: Article 22(3), point (d), subpoint (ii) and Article 23(2) ESF+

Table 2: Result indicators

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Priority  | Specific objective  | Fund | Category of region | ID [5] | Indicator [255] | Measurement unit | Baseline or reference value | Reference year | Target (2029) | Source of data [200] | Comments [200] |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |

2.1.1.1.3. Indicative breakdown of the programme resources (EU) by type of intervention (not applicable to the EMFAF)

Reference: Article 22(3), point (d), subpoint (viii)

Table 1: Dimension 1 – intervention field

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Priority No | Fund | Category of region | Specific objective | Code | Amount (EUR) |
|  |  |  |  |  |  |

Table 2: Dimension 2 – form of financing

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Priority No | Fund | Category of region | Specific objective | Code | Amount (EUR) |
|  |  |  |  |  |  |

Table 3: Dimension 3 – territorial delivery mechanism and territorial focus

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Priority No | Fund | Category of region | Specific objective | Code | Amount (EUR) |
|  |  |  |  |  |  |

Table 4: Dimension 6 – ESF+ secondary themes

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Priority No | Fund | Category of region | Specific objective | Code | Amount (EUR) |
|  |  |  |  |  |  |

Table 5: Dimension 7 – ESF+**\***, ERDF, CF and JTF gender equality dimension

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Priority No | Fund | Category of region | Specific objective | Code | Amount (EUR) |
|  |  |  |  |  |  |

**\*** In principle, 40 % for the ESF+ contributes to gender tracking. 100 % is applicable when Member State chooses to use Article 6 ESF+ as well as programme specific actions in gender equality.

2.1.1.1.4. Indicative breakdown of the programmed resources (EU) by type of intervention for EMFAF

Reference: Article 22(3), point (c) CPR

Table 1

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Priority No | Specific objective | Type of intervention | Code | Amount (EUR) |
|  |  |  |  |  |

2.1.1.2. Specific objective addressing material deprivation[[49]](#footnote-49)

Reference: Article 22(3) CPR; Article 18 ESF+

Types of support

|  |
| --- |
| Text field [2 000] |

Main target groups

|  |
| --- |
| Text field [2 000] |

Decryption of the national or regional schemes of support

|  |
| --- |
| Text field [2 000] |

Criteria for the selection of operations[[50]](#footnote-50)

|  |
| --- |
| Text field [4 000] |

2.2. Technical assistance priorities

2.2.1. Priority for technical assistance pursuant to Article 36(4) (repeated for each such a TA priority)

Reference: Article 22(3), point (e) CPR

2.2.1.1. Intervention from the Funds

The related types of actions – Article 22(3), point (e), subpoint (i) CPR

|  |
| --- |
| Text field [8 000] |

The main target groups – Article 22(3), point (e), subpoint (iii) CPR

|  |
| --- |
| Text field [1 000] |

2.1.1.2. Indicators

Output indicators with the corresponding milestones and targets

Reference: Article 22(3), point (e), subpoint (ii) CPR

Table 1: Output indicators

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Priority | Fund | Category of region | ID [5] | Indicator [255] | Measurement unit | Milestone (2024) | Target (2029) |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

2.1.1.3. Indicative breakdown of the programmed resources (EU) by type of intervention

Reference Article 22(3), point (e), subpoint (iv) CPR

Table 1: Dimension 1 – intervention field

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Priority No | Fund | Category of region | Code | Amount (EUR) |
|  |  |  |  |  |

Table 2: Dimension 6 – ESF+ secondary themes

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Priority No | Fund | Category of region | Code | Amount (EUR) |
|  |  |  |  |  |

Table 3: Dimension 7 – ESF+**\***, ERDF, CF and JTF gender equality dimension

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Priority No | Fund | Category of region | Code | Amount (EUR) |
|  |  |  |  |  |

**\*** In principle, 40 % for the ESF+ contributes to gender tracking. 100 % is applicable when Member State chooses to use article 6 ESF+ as well as programme specific actions in gender equality.

Table 4 - EMFAF

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Priority No | Specific objective | Type of intervention | Code | Amount (EUR) |
|  |  |  |  |  |

2.1.2. Priority for technical assistance pursuant to Article 37 (repeated for each such a TA priority)

Reference: Article 22(3), point (f) CPR

2.1.2.1. Description of technical assistance under financing not linked to costs – Article 37

|  |
| --- |
| Text field [3 000] |

2.1.2.2. Indicative breakdown of the programmed resources (EU) by type of intervention

Reference: Article 22(3), point (f) CPR

Table 1: Dimension 1 – intervention field

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Priority No | Fund | Category of region | Code | Amount (EUR) |
|  |  |  |  |  |

Table 2: Dimension 6 – ESF+ secondary themes

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Priority No | Fund | Category of region | Code | Amount (EUR) |
|  |  |  |  |  |

Table 3: Dimension 7 – ESF+**\***, ERDF, CF and JTF gender equality dimension

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Priority No | Fund | Category of region | Code | Amount (EUR) |
|  |  |  |  |  |

**\*** In principle, 40 % for the ESF+ contributes to gender tracking. 100 % is applicable when Member State chooses to use Article 6 ESF+ as well as programme specific actions in gender equality.

Table 4 - EMFAF

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Priority No | Specific objective | Type of intervention | Code | Amount (EUR) |
|  |  |  |  |  |

3. Financing plan

Reference: Article 22(3), point (g), subpoints (i)-(iii); Article 112(1) to (3), Article 14; Article 26 CPR

3.1. Transfers and contributions[[51]](#footnote-51)

Reference: Article 14; Article 26; CPR and Article 27, CPR

|  |  |
| --- | --- |
| Programme amendment related to | [ ]  contribution to InvestEU |
| [ ]  transfer to instruments under direct or indirect management |
| [ ]  transfer between ERDF, ESF+, Cohesion Fund or to another Fund or Funds |

Table 1: Contribution to InvestEU**\*** (breakdown by year)

|  |  |  |
| --- | --- | --- |
| Contribution from | Contribution to | Breakdown by year |
| Fund | Category of region | InvestEU window(s) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| ERDF | More developed |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |
| CF | N/A |  |  |  |  |  |  |  |  |  |
| EMFAF | N/A |  |  |  |  |  |  |  |  |  |

**\*** For each new request for contribution, a programme amendment shall set out the total amounts transferred for each year by Fund and by category of region.

Table 2: Contributions to InvestEU**\*** (summary)

|  | Category of regions | Window 1 Sustainable Infrastructure | Window 2 Innovation and Digitisation | Window 3 SME | Window 4 Social Investment and Skills | Total |
| --- | --- | --- | --- | --- | --- | --- |
|  |  | (a) | (b) | (c) | (d) | (f)=(a)+(b)+(c)+(d) |
| ERDF | More developed |  |  |  |  |  |
| Less developed |  |  |  |  |  |
| Transition |  |  |  |  |  |
|  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |
| Less developed |  |  |  |  |  |
| Transition |  |  |  |  |  |
|  |  |  |  |  |  |
| CF |  |  |  |  |  |  |
| EMFAF |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |

**\*** Cumulative amounts for all contributions done through programme amendments during the programming period. With each new request for contribution, a programme amendment shall set out the total amounts transferred for each year by Fund and by category of region.

|  |
| --- |
| Text field [3500] (justification), taking into account how those amount contribute to the achievement of policy objectives selected in the PA in line with Article 10(1) of InvestEU Regulation |

Table 3: Transfers to instruments under direct or indirect management**\*** (breakdown by year)

| Transfer from | Transfer to | Breakdown by year |
| --- | --- | --- |
| Fund | Category of region | Instrument | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| Financial appropriation without flexibility amount | Flexibility amount | Financial appropriation without flexibility amount | Flexibility amount |
| ERDF | More developed |  |  |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |  |
| CF | N/A |  |  |  |  |  |  |  |  |  |  |  |
| EMFAF | N/A |  |  |  |  |  |  |  |  |  |  |  |

Table 4: Transfers to instruments under direct or indirect management**\*** (summary)

| Fund | Category of regions | Instrument 1 | Instrument 2 | Instrument 3 | Instrument 4 | Instrument 5**\*\*** | Total |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  | (a) | (b) | (c) | (d) | (e) | (f)=(a)+(b)+(c)+(d)+(e) |
| ERDF | More developed |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |
| CF |  |  |  |  |  |  |  |
| EMFAF |  |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |  |

**\*** Cumulative amounts for all transfers done through programme amendments during programming period. With each new request for transfer, a programme amendment shall set out the total amounts transferred for each year by Fund and by category of region.

**\*\*** Transfers may be made to any other instrument under direct or indirect management, where such possibility is provided for in the basic act. Number and names of the relevant EU instruments will be specified accordingly.

|  |
| --- |
| Text field [3 500] (justification) |

Table 5: Transfers between ERDF, ESF+ and Cohesion Fund or to another Fund or Funds**\*** (breakdown by year)

| Transfers from | Transfers to | Breakdown by year |
| --- | --- | --- |
| Fund | Category of region | Fund | Category of region (where relevant) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| Financial appropriation without flexibility amount | Flexibility amount | Financial appropriation without flexibility amount | Flexibility amount |
| ERDF | More developed | ERDF, ESF+ or CF, EMFAF, AMF, ISF, BMVI |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |
| CF | N/A |  |  |  |  |  |  |  |  |  |
| EMFAF | N/A |  |  |  |  |  |  |  |  |  |

**\*** Transfer to other programmes. Transfers between ERDF and ESF+ can only be done within the same category of region.

Table 6: Transfers between ERDF, ESF+ and Cohesion Fund or to another Fund or Funds**\*** (summary)

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | ERDF | ESF+ | CF | EMFAF | AMF | ISF | BMVI | Total |
| More developed | Transition | Less developed |  | More developed | Transition | Less developed |  |
| ERDF | More developed |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Transition  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| CF |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| EMFAF |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

**\*** Cumulative amounts for all transfers done through programme amendments during the programming period. With each new request for transfer, a programme amendment shall set out the total amounts transferred for each year by Fund and by category of region.

|  |
| --- |
| Text field [3 500] (justification) |

3.2. Just Transition Fund: allocation in the programme and transfers[[52]](#footnote-52)

3.2.1. JTF allocation to the programme prior to transfers by priority (where relevant)[[53]](#footnote-53)

Reference: Article 27

Table 1: JTF allocation to the programme in accordance to Article 3, JTF, prior to transfers

|  |  |
| --- | --- |
| JTF priority 1 |  |
| JTF priority 2 |  |
|  | Total  |

3.2.2. Transfers to Just Transition Fund as complementary support[[54]](#footnote-54) (where relevant)

|  |  |  |
| --- | --- | --- |
| Transfer to JTF | [ ]  concerns internal transfers within the programme with JTF allocation |  |
| [ ]  concerns transfers from other programmes to the programme with JTF allocation |  |

Table 1: Transfers to JTF within the programme (breakdown by year)

|  |  |  |
| --- | --- | --- |
| Transfer from | Transfer to | Breakdown by year |
| Fund | Category of region | JTF priority**\*** | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| Financial appropriation without flexibility amount | Flexibility amount | Financial appropriation without flexibility amount | Flexibility amount |
| ERDF | More developed | JTF priority 1 |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |
| ESF+ | More developed | JTF priority 2 |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |

**\*** JTF resources should be complemented with ERDF or ESF+ resources of the category of regions where the concerned territory is located.

Table 2: Transfer of ERDF and ESF+ resources to the Just Transition Fund (JTF) within the programme

|  |  |
| --- | --- |
|  | JTF allocation in the programme**\*** broken down by category of region, which territory located**\*\*** in (by JTF priority)  |
| JTF priority (for each JTF priority) | Amount |
| Transfer within the programme**\*** (complementary support) per category of region |  |  |
| ERDF | More developed |  |  |
| Transition |  |  |
| Less developed |  |  |
| ESF+ | More developed |  |  |
| Transition |  |  |
| Less developed |  |  |
| Total  | More developed |  |  |
|  | Transition |  |  |
|  | Less developed |  |  |

**\*** Programme with the JTF allocation.

**\*\*** JTF resources should be complemented with ERDF or ESF+ resources of the category of regions where the concerned territory is located.

Table 3: Transfers to JTF from the other programme(s) (breakdown by year)

|  |  |  |
| --- | --- | --- |
| Transfer from  | Transfer to | Breakdown by year |
| Fund | Category of region  | JTF priority**\*** | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total  |
| Financial appropriation without flexibility amount | Flexibility amount | Financial appropriation without flexibility amount | Flexibility amount |
| ERDF | More developed | JTF priority 1 |  |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |
| ESF+ | More developed | JTF priority 2 |  |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |

**\*** JTF resources should be complemented with ERDF or ESF+ resources of the category of regions where the concerned territory is located.

Table 4: Transfer of ERDF and ESF+ resources from other programme(s) to the Just Transition Fund (JTF) in this programme

|  |  |
| --- | --- |
|  | Complementary support to the JTF in this programme**\*** to the territory located**\*\*\*** in a given category of region (by priority): |
| JTF priority | Amount |
| Transfer(s) from other programme(s)**\*\*** per category of region |  |  |
| ERDF | More developed |  |  |
| Transition |  |  |
| Less developed |  |  |
| ESF+ | More developed |  |  |
| Transition |  |  |
| Less developed |  |  |
| Total |  |  |

**\*** Programme with JTF allocation, which receives complementary support from the ERDF and ESF+.

**\*\*** Programme providing the complementary support from the ERDF and ESF+ (source).

**\*\*\*** JTF resources should be complemented with ERDF or ESF+ resources of the category of regions where the concerned territory is located.

|  |
| --- |
| Text field [3 000] Justification for the complementary transfer from ERDF and ESF+ based on the planned types of interventions – Article 22(3), point (d), subpoint (viii) |

3.3. Transfers between categories of region resulting from the mid-term review

Table 1: Transfers between categories of region resulting from the mid-term review, within the programme (breakdown by year)

|  |  |  |
| --- | --- | --- |
| Transfer from | Transfer to | Breakdown by year |
| Category of region | Category of region | 2025 | 2026 | 2027 | Total |
| More developed | More developed /Transition /Less developed |  |  |  |  |
| Transition |  |  |  |  |
| Less developed |  |  |  |  |

**\*** Applicable to ERDF and ESF+ only.

Table 2: Transfers between categories of region resulting from the mid-term review, to other programmes (breakdown by year)

|  |  |  |
| --- | --- | --- |
| Transfer from | Transfer to | Breakdown by year |
| Category of region | Category of region | 2025 | 2026 | 2027 | Total |
| More developed | More developed /Transition /Less developed |  |  |  |  |
| Transition |  |  |  |  |
| Less developed |  |  |  |  |

**\*** Applicable to ERDF and ESF+ only.

3.4. Transfers back[[55]](#footnote-55)

Table 1 Transfers back (breakdown by year)

|  |  |  |
| --- | --- | --- |
| Transfer from | Transfer to | Breakdown by year |
| InvestEU or other EU instrument | Fund | Category of region | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
| InvestEUwindow 1window 2window 3window 4EU instrument 1EU instrument 2[…] | ERDF | More developed |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |
| ESF+ | More developed |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |
| CF |  |  |  |  |  |  |  |  |  |
| EMFAF |  |  |  |  |  |  |  |  |  |

Table 2 Transfers back\* (summary)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| From / To | ERDF | ESF+ | CF | EMFAF |
| More developed | Transition | Less developed | More developed | Transition | Less developed |  |  |
| InvestEU |  |  |  |  |  |  |  |  |
| Window 1 |  |  |  |  |  |  |  |  |
| Window 2 |  |  |  |  |  |  |  |  |
| Window 3 |  |  |  |  |  |  |  |  |
| Window 4 |  |  |  |  |  |  |  |  |
| Instrument 1 |  |  |  |  |  |  |  |  |
| Instrument 2 |  |  |  |  |  |  |  |  |
| Instrument 3 |  |  |  |  |  |  |  |  |
| Instrument 4**\*\*** |  |  |  |  |  |  |  |  |

**\*** Cumulative amounts for all transfers done through programme amendments during programming period. With each new request for transfer, a programme amendment shall set out the total amounts transferred for each year by Fund and by category of region.

**\*\*** Transfers may be made to any other instrument under direct or indirect management, where such possibility is provided for in the basic act. Number and names of the relevant EU instruments will be specified accordingly.

3.5. Financial appropriations by year

Reference: Article 22(3), point (g), subpoint (i) CPR and Article 3, 4 and 7 JTF

| Table 1: Financial appropriations by year |
| --- |
| Fund | Category of region | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2026for EMFAF only | 2027 | 2027for EMFAF only | Total |
| Financial appropriation without flexibility amount | Flexibility amount | Financial appropriation without flexibility amount | Flexibility amount |
| ERDF**\*** | More developed |  |  |  |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |  |  |
| Outermost and northern sparsely populated |  |  |  |  |  |  |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ESF+**\*** | More developed |  |  |  |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |  |  |
| Outermost and northern sparsely populated |  |  |  |  |  |  |  |  |  |  |  |  |
| Total  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| JTF**\*** | Article 3 JTF resources |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Article 4 JTF resources |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Article 7 JTF resources (related to Article 3 JTF resources) |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Article 7 JTF resources (related to Article 4 JTF resources) |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Cohesion Fund |  | N/A |  |  |  |  |  |  |  |  |  |  |  |  |
| EMFAF |  | N/A |  |  |  |  |  |  |  |  |  |  |  |  |
| Total  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

**\*** Amounts after the complementary transfer to JTF.

3.6. Total financial appropriations by fund and national co-financing

Reference: Article 22(3), point (g), subpoint (ii), Article 22(6) and Article 36 CPR

For programmes under the Investment for Jobs and Growth goal where technical assistance in accordance with Article 36(4) CPR was chosen in the Partnership Agreement.

Table 1: Total financial appropriations by fund and national co-financing

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Policy/ JTF specific objectiveNo or TA | Priority | Basis for calculation EU support (total eligible cost or public contribution) | Fund | Category of region\* | Union contribution(a) = (g)+(h) | Breakdown of Union contribution | National contribution | Indicative breakdown of national contribution | Total | Co-financing rate |
| Union contribution less the flexibility amount(g) | Flexibility amount(h) | public | private |
|  |  |  |  | (b)=(c)+(d) | (c) | (d) | (e)=(a)+(b) | (f)=(a)/(e)\*\* |
|  | Priority 1 | P/T | ERDF | More developed |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |
| Outermost and northern sparsely populated |  |  |  |  |  |  |  |  |
|  | Priority 2 |  | ESF+ | More developed |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |
| Outermost and northern sparsely populated |  |  |  |  |  |  |  |  |
|  | Priority 3 |  | JTF\*\*  | Article 3, resources |  |  |  |  |  |  |  |  |  |
| Article 4 resources |  |  |  |  |  |  |  |  |  |
|  | Priority 4 |  | CF |  |  |  |  |  |  |  |  |  |
| TA | Priority 5TA pursuant to Article 36(4) |  | ERDF or ESF+ or JTF or CF |  |  |  |  |  |  |  |  |  |
| TA | Priority 6TA pursuant to Article 37 |  | ERDF or ESF+ or JTF or CF |  |  |  |  |  |  |  |  |  |
|  |  | Total ERDF | More developed |  |  |  |  |  |  |  |  |
|  | Transition |  |  |  |  |  |  |  |  |
|  | Less developed |  |  |  |  |  |  |  |  |
|  | Outermost and northern sparsely populated  |  |  |  |  |  |  |  |  |
|  |  | Total ESF+ | More developed |  |  |  |  |  |  |  |  |
|  | Transition |  |  |  |  |  |  |  |  |
|  | Less developed |  |  |  |  |  |  |  |  |
|  | Outermost and northern sparsely populated |  |  |  |  |  |  |  |  |
|  |  | JTF\*\*  | Article 3 resources |  |  |  |  |  |  |  |  |  |
|  | Article 4 resources |  |  |  |  |  |  |  |  |  |
|  |  | Total CF |  |  |  |  |  |  |  |  |  |
|  |  | Grand total |  |  |  |  |  |  |  |  |  |

**\*** For ERDF: less developed, transition, more developed, and, where applicable special allocation for outermost and northern sparsely populated regions. For ESF+: less developed, transition, more developed and, where applicable, additional allocation for outermost regions. For CF: not applicable. For technical assistance, application of categories of region depends on selection of a fund.

**\*\*** Indicate the total JTF resources, including the complementary support transferred from the ERDF and the ESF+. The table shall not include the amounts in accordance to Article 7 JTF. In case of technical assistance financed from the JTF, the JTF resources should be split into resources related to Article 3 and 4 JTF. For Article 4 JTF, there is no flexibility amount.

For the Investment for Jobs and Growth goal: programmes using technical assistance according to Article 36(5) in accordance with the choice made in the Partnership Agreement.

Table 2: Total financial allocations by fund and national contribution

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Policy/JTF specific objectiveNo or TA | Priority | Basis for calculation EU support (total eligible cost or public contribution) | Fund | Category of region\* | Union contribution(a)=(b)+(c)+(i)+(j) | Breakdown of Union contribution | National contribution | Indicative breakdown of national contribution | Total | Co-financing rate |
| public | private |
|  | (d)=(e)+(f) | (e) | (f) | (g)=(a)+(d)\*\* | (h)=(a)/(g) |
| Union contribution | Flexibility amount |  |  |  |  |  |
| without TA pursuant to Article 36(5) | for TA pursuant to Article 36(5) | without TA pursuant to Article 36(5) | for TA pursuant to Article 36(5)\*\*\* |
| (b) | (c) | (i) | (j) |
|  | Priority 1 | P/T | ERDF | More developed |  |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |
| Outermost and northern sparsely populated |  |  |  |  |  |  |  |  |  |  |
|  | Priority 2 |  | ESF+ | More developed |  |  |  |  |  |  |  |  |  |  |
| Transition |  |  |  |  |  |  |  |  |  |  |
| Less developed |  |  |  |  |  |  |  |  |  |  |
| Outermost and northern sparsely populated |  |  |  |  |  |  |  |  |  |  |
|  | Priority 3 |  | JTF\*\* | Article 3 resources |  |  |  |  |  |  |  |  |  |  |  |
| Article 4 resources |  |  |  |  |  |  |  |  |  |  |  |
|  | Priority 4 |  | CF |  |  |  |  |  |  |  |  |  |  |  |
| TA | Priority 5TA pursuant to Article 37 |  | ERDF or ESF+ or JTF or CF |  |  |  |  |  |  |  |  |  |  |  |
|  |  | Total ERDF | More developed |  |  |  |  |  |  |  |  |  |  |
|  | Transition |  |  |  |  |  |  |  |  |  |  |
|  | Less developed |  |  |  |  |  |  |  |  |  |  |
|  | Outermost and northern sparsely populated |  |  |  |  |  |  |  |  |  |  |
|  |  | Total ESF+ | More developed |  |  |  |  |  |  |  |  |  |  |
|  | Transition |  |  |  |  |  |  |  |  |  |  |
|  | Less developed |  |  |  |  |  |  |  |  |  |  |
|  | Outermost and northern sparsely populated |  |  |  |  |  |  |  |  |  |  |
|  |  | JTF\*\* | Article 3 resources |  |  |  |  |  |  |  |  |  |  |  |
|  |  | Article 4 resources |  |  |  |  |  |  |  |  |  |  |  |
|  |  | Total CF |  |  |  |  |  |  |  |  |  |  |  |
| Grand total |  |  |  |  |  |  |  |  |  |  |  |  |  |

**\*** For ERDF and ESF+: less developed, transition, more developed, and, where applicable special allocation for outermost and northern sparsely populated regions. For CF: not applicable. For technical assistance, application of categories of regions depends on the selection of the fund.

**\*\*** Indicate the total JTF resources, including the complementary support transferred from the ERDF and the ESF+. The table shall not include the amounts in accordance to Article 7 JTF. In case of technical assistance financed from the JTF, the JTF resources should be split into resources related to Article 3 and 4 JTF. For Article 4 JTF, there is no flexibility amount.

For the EMFAF:

Reference: Article 22(3), point (g), subpoint (iii) CPR

EMFAF programmes using technical assistance according to Article 36(4) in accordance with the choice made in the Partnership Agreement.

Table 3: Total financial allocations by fund and national contribution

| Priority | Specific objective (nomenclature set out in the EMFAF Regulation) | Basis for calculationof EU support | Union contribution | National public contribution | Total | Co-financing rate |
| --- | --- | --- | --- | --- | --- | --- |
| Priority 1 | 1.1.1 | Public |  |  |  |  |
| 1.1.2 | Public |  |  |  |  |
| 1.2 | Public |  |  |  |  |
| 1.3 | Public |  |  |  |  |
| 1.4 | Public |  |  |  |  |
| 1.5 | Public |  |  |  |  |
| 1.6 | Public |  |  |  |  |
| Priority 2 | 2.1 | Public |  |  |  |  |
| 2.2 | Public |  |  |  |  |
| Priority 3 | 3.1 | Public |  |  |  |  |
| Priority 4 | 4.1 | Public |  |  |  |  |
| Technical assistance pursuant to Article 36(4) | 5.1 | Public |  |  |  |  |
| Technical assistance pursuant to Article 37 | 5.2 | Public |  |  |  |  |

EMFAF programmes using technical assistance according to Article 36(5) in accordance with the choice made in the Partnership Agreement.

Table 4: A Total financial allocations by fund and national contribution

| Priority | Specific objective (nomenclature set out in the EMFAF Regulation) | Basis for calculation of EU support | Union contribution | National public contribution | Total | Co-financing rate**\*** |
| --- | --- | --- | --- | --- | --- | --- |
| Union contribution without TA pursuant to Article 36(5) | Union contribution for TA pursuant to Article 36(5)**\*\*\*** |
| Priority 1 | 1.1.1 | Public |  |  |  |  |  |
| 1.1.2 | Public |  |  |  |  |  |
| 1.2 | Public |  |  |  |  |  |
| 1.3 | Public |  |  |  |  |  |
| 1.4 | Public |  |  |  |  |  |
| 1.5 | Public |  |  |  |  |  |
| 1.6 | Public |  |  |  |  |  |
| Priority 2 | 2.1 | Public |  |  |  |  |  |
| 2.2 | Public |  |  |  |  |  |
| Priority 3 | 3.1 | Public |  |  |  |  |  |
| Priority 4 | 4.1 | Public |  |  |  |  |  |
| Technical assistance (Article 37) | 5.1 | Public |  |  |  |  |  |

**\*\*\*** According the percentages set out in Article 36(5), CPR.

4. Enabling conditions

Reference: Article 22(3), point (i)

Table 1: Enabling conditions

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Enabling conditions | Fund | Specific objective(N/A to the EMFAF) | Fulfilment of enabling condition | Criteria | Fulfilment of criteria | Reference to relevant documents | Justification |
|  |  |  | Yes/No | Criterion 1 | Y/N | [500] | [1 000] |
|  |  |  |  | Criterion 2 | Y/N |  |  |

5. Programme authorities

Reference: Article 22(3), point (k); Article 71, Article 84 CPR

Table 1: Programme authorities

|  |  |  |  |
| --- | --- | --- | --- |
| Programme authorities | Name of the institution [500] | Contact name [200] | E-mail [200] |
| Managing authority |  |  |  |
| Audit authority |  |  |  |
| Body which receives payments from the Commission |  |  |  |
| Where applicable, body or, bodies which receive payments from the Commission in case of technical assistance pursuant to Article 36(5) |  |  |  |
| Accounting function in case this function is entrusted to a body other than the managing authority |  |  |  |

The repartition of the reimbursed amounts for technical assistance pursuant to Article 36(5) if more bodies are identified to receive payments from the Commission

Reference: Article 22(3) CPR

Table 2: The portion of the percentages set out in Article 36(5), point (b) that would be reimbursed to the bodies which receive payments from the Commission in case of technical assistance pursuant to Article 36(5) (in percentage points)

|  |  |
| --- | --- |
| Body 1 | p.p. |
| Body 2**\*** | p.p. |

**\*** Number of bodies defined by a Member State.

6. Partnership

Reference: Article 22(3), point (h) CPR

|  |
| --- |
| Text field [10 000] |

7. Communication and visibility

Reference: Article 22(3), point (j) CPR

|  |
| --- |
| Text field [4 500] |

8. Use of unit costs, lump sums, flat rates and financing not linked to costs

Reference: Articles 94 and 95 CPR

Table 1: Use of unit costs, lump sums, flat rates and financing not linked to costs

|  |  |  |
| --- | --- | --- |
| Intended use of Articles 94 and 95 | YES | NO |
| From the adoption programme will make use of reimbursement of the Union contribution based on unit costs, lump sums and flat rates under the priority according to Article 94 CPR (if yes, fill in Appendix 1) | [ ]  | [ ]  |
| From the adoption programme will make use of reimbursement of the Union contribution based on financing not linked to costs according to Article 95 CPR (if yes, fill in Appendix 2) | [ ]  | [ ]  |

**\*** Full information will be provided according to the models annexed to the CPR.

**Appendix 1**

Union contribution based on unit costs, lump sums and flat rates

Template for submitting data for the consideration of the Commission
(Article 94)

|  |  |
| --- | --- |
| Date of submitting the proposal |  |
|  |  |

This Appendix is not required when EU-level simplified cost options established by the delegated act referred to in Article 94(4) are used.

A. Summary of the main elements

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Priority | Fund | Specific objective | Category of region | Estimated proportion of the total financial allocation within the priority to which the SCO will be applied in % | Type(s) of operation covered | Indicator triggering reimbursement | Unit of measurement for the indicator triggering reimbursement | Type of SCO (standard scale of unit costs, lump sums or flat rates) | Amount (in EUR) or percentage (in case of flat rates) of the SCO |
|  |  |  |  |  | Code[[56]](#footnote-56) | Description | Code[[57]](#footnote-57) | Description |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |

B. Details by type of operation (to be completed for every type of operation)

Did the managing authority receive support from an external company to set out the simplified costs below?

If so, please specify which external company: Yes/No – Name of external company

|  |  |
| --- | --- |
| 1. Description of the operation type including the timeline for implementation[[58]](#footnote-58) |  |
| 2. specific objective(s)  |  |
| 3. Indicator triggering reimbursement [[59]](#footnote-59) |  |
| 4. Unit of measurement for the indicator triggering reimbursement |  |
| 5. Standard scale of unit cost, lump sum or flat rate |  |
| 6. Amount per unit of measurement or percentage (for flat rates) of the SCO |  |
| 7. Categories of costs covered by the unit cost, lump sum or flat rate |  |
| 8. Do these categories of costs cover all eligible expenditure for the operation? (Y/N) |  |
| 9. Adjustment(s) method[[60]](#footnote-60)  |  |
| 10. Verification of the achievement of the units- describe what document(s)/system will be used to verify the achievement of the units delivered- describe what will be checked and by whom during management verifications- describe what arrangements will be made to collect and store relevant data/documents  |  |
| 11. Possible perverse incentives, mitigating measures[[61]](#footnote-61) and the estimated level of risk (high/medium/low) |  |
| 12. Total amount (national and EU) expected to be reimbursed by the Commission on this basis |  |

C. Calculation of the standard scale of unit costs, lump sums or flat rates

1. Source of data used to calculate the standard scale of unit costs, lump sums or flat rates (who produced, collected and recorded the data; where the data are stored; cut-off dates; validation, etc.).

|  |
| --- |
|  |

2. Please specify why the proposed method and calculation based on Article 94(2) is relevant to the type of operation.

|  |
| --- |
|  |

3. Please specify how the calculations were made, in particular including any assumptions made in terms of quality or quantities. Where relevant, statistical evidence and benchmarks should be used and, if requested, provided in a format that is usable by the Commission.

|  |
| --- |
|  |

4. Please explain how you have ensured that only eligible expenditure was included in the calculation of the standard scale of unit cost, lump sum or flat rate.

|  |
| --- |
|  |

5. Assessment of the audit authority(ies) of the calculation methodology and amounts and the arrangements to ensure the verification, quality, collection and storage of data.

|  |
| --- |
|  |

**Appendix 2**

Union contribution based on financing not linked to costs

Template for submitting data for the consideration of the Commission
(Article 95)

|  |  |
| --- | --- |
| Date of submitting the proposal |  |
|  |  |

This Appendix is not required when amounts for EU-level financing not linked to costs established by the delegated act referred to in Article 95(4) are used.

A. Summary of the main elements

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Priority | Fund | Specific objective | Category of region | The amount covered by the financing not linked to costs | Type(s) of operation covered | Conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission | indicator | Unit of measurement for the conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission | Envisaged type of reimbursement method used to reimburse the beneficiary or beneficiaries |
|  |  |  |  |  | Code[[62]](#footnote-62)  | Description |  | Code[[63]](#footnote-63) | Description |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |

B. Details by type of operation (to be completed for every type of operation)

|  |  |
| --- | --- |
| 1. Description of the operation type  |  |
| 2. Specific objective(s) |  |
| 3. Conditions to be fulfilled or results to be achieved  |  |
| 4. Deadline for fulfilment of conditions or results to be achieved |  |
| 5. Indicator definition |  |
| 6. Unit of measurement for conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission  |  |
| 7. Intermediate deliverables (if applicable) triggering reimbursement by the Commission with schedule for reimbursements | Intermediate deliverables  | Envisaged date | Amounts (in EUR) |
|  |  |  |
|  |  |  |
| 8. Total amount (including EU and national funding) |  |
| 9. Adjustment(s) method |  |
| 10. Verification of the achievement of the result or condition (and where relevant, the intermediate deliverables):- describe what document(s)/system will be used to verify the achievement of the result or condition (and where relevant, each of the intermediate deliverables);- describe how management verifications (including on-the-spot) will be carried out, and by whom;- describe what arrangements will be made to collect and store relevant data/documents.  |  |
| 11. Use of grants in the form of financing not linked to costsDoes the grant provided by Member State to beneficiaries take the form of financing not linked to costs? [Y/N] |  |
| 12. Arrangements to ensure the audit trailPlease list the body(ies) responsible for these arrangements. |  |

**Appendix 3**

List of planned operations of strategic importance with a timetable
(Article 22(3))

|  |
| --- |
| Text field [2 000] |

**Appendix 4**

EMFAF action plan for each outermost region

NB: to be duplicated for each outermost region

Template for submitting data for the consideration of the Commission

|  |  |
| --- | --- |
| Name of the outermost region  |  |

A. Description of the strategy for the sustainable exploitation of fisheries and the development of the sustainable blue economy

|  |
| --- |
| Text field [30 000] |

B. Description of the main actions envisaged and the corresponding financial means

|  |  |
| --- | --- |
| Description of the main actions | EMFAF amount allocated (EUR) |
| Structural support to the fishery and aquaculture sector under the EMFAFText field [10 000] |  |
| Compensation for the additional costs under Article [21] of the EMFAFText field [10 000] |  |
| Other investments in the sustainable blue economy necessary to achieve a sustainable coastal developmentText field [10 000] |  |
| TOTAL |  |

C. Description of the synergies with other sources of Union funding

|  |
| --- |
| Text field [10 000] |

D. Additional funding for the implementation of the compensation for the additional costs (State aid)

Information to be provided for each envisaged scheme/ad hoc aid

|  |  |  |
| --- | --- | --- |
| Region | Name of the Region(s) (NUTS)[[64]](#footnote-64) | ……… |
| Granting authority | Name | … |
| Postal addressWeb address | …… |
| Title of the aid measure | … |
| National legal basis (reference to the relevant national official publication) | ……… |
| Web link to the full text of the aid measure | … |
| Type of measure | ☐ Scheme |   |
| ☐ Ad hoc aid | Name of the beneficiary and the group[[65]](#footnote-65) it belongs to…… |
| Amendment of an existing aid scheme or ad hoc aid |  | Commission aid reference |
| ☐ Prolongation | …… |
| ☐ Modification | …… |
| Duration[[66]](#footnote-66) | ☐ Scheme | dd/mm/yyyy to dd/mm/yyyy |
| Date of granting[[67]](#footnote-67) | ☐ Ad hoc aid | dd/mm/yyyy |
| Economic sector(s) concerned | ☐ All economic sectors eligible to receive aid |   |
| ☐ Limited to certain sectors: please specify at NACE group level[[68]](#footnote-68)  | ………… |
| Type of beneficiary | ☐ SME |   |
| ☐ Large undertakings |   |
| Budget | Total annual amount of the budget planned under the scheme[[69]](#footnote-69)  | National currency … (full amounts)… |
| Overall amount of the ad hoc aid awarded to the undertaking[[70]](#footnote-70)  | National currency … (full amounts)… |
| ☐ For guarantees[[71]](#footnote-71)  | National currency … (full amounts)… |
| Aid instrument | ☐ Grant/Interest rate subsidy |
| ☐ Loan/Repayable advances |
| ☐ Guarantee (where appropriate with a reference to the Commission decision[[72]](#footnote-72)) |
| ☐ Tax advantage or tax exemption |
| ☐ Provision of risk finance |
| ☐ Other (please specify)… |
| Motivation | Indicate why a State aid scheme has been established or an ad-hoc aid has been granted, instead of assistance under the European Maritime, Fisheries and Aquaculture Fund (EMFAF):☐ measure not covered by the national programme;☐ prioritisation in the allocation of funds under the national programme;☐ funding no longer available under the EMFAF;☐ other (please specify) |

ANNEX VI

Template of a programme for the AMIF, the ISF and the BMVI – Article 21(3)

|  |  |
| --- | --- |
| CCI number |  |
| Title in English | [255 characters[[73]](#footnote-73)] |
| Title in the national language | [255] |
| Version |  |
| First Year  | [4] |
| Last Year  | [4] |
| Eligible from |  |
| Eligible until |  |
| Commission Decision Number  |  |
| Commission Decision Date  |  |
| Member State amending decision number |  |
| Member State amending decision entry into force date |  |
| Non substantial transfer (Article 24(5)) | Yes/No |

1. Programme strategy: main challenges and policy responses

Reference: Article 22(3), point (a), subpoints (iii), (iv), (v) and (ix) and CPR

|  |
| --- |
| This section explains how the programme will address the main challenges identified at the national level based on local, regional and national needs assessments and/or strategies. It provides an overview of the state of implementation of relevant EU acquis and the progress achieved on EU action plans, and describes how the Fund will support their development through the programming period.  |

|  |
| --- |
| Text field [15 000] |

2. Specific objectives (repeated for each specific objective other than technical assistance)

Reference: Articles 22(2) and 22(4)

2.1. Title of the specific objective [300]

2.1.1. Description of a specific objective

|  |
| --- |
| This section describes, for each specific objective, the initial situation, main challenges and proposes responses supported by the Fund. It describes which implementation measures are addressed with the support of the Fund; it provides an indicative list of actions within the scope of Articles 3 and 4 of the AMIF, ISF or BMVI Regulations.In particular: For operating support, it provides a justification in line with Article 15 of the ISF Regulation, Articles 15 and 16 of the BMVI Regulation or Article 18 of the AMIF Regulation. It includes an indicative list of beneficiaries with their statutory responsibilities, main tasks to be supported.Planned use of financial instruments, if applicable.AMIF only: resettlement and solidarity shall be presented separately.Text field (16 000 characters) |

2.1.2. Indicators

Reference: Article 22(4), point (e) CPR

Table 1: Output indicators

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Specific objective | ID [5] | Indicator [255] | Measurement unit | Milestone (2024) | Target (2029) |
|  |  |  |  |  |  |

Table 2: Result indicators

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Specific objective | ID [5] | Indicator [255] | Measurement unit | Baseline or reference value | Reference year | Target (2029) | Measurement unit for target | Source of data [200] | Comments [200] |
|  |  |  |  |  |  |  |  |  |  |

2.1.3. Indicative breakdown of the programme resources (EU) by type of intervention

Reference: Article 22(5) CPR and Article 12(15) of the BMVI Regulation or Article 12(9) ISF Regulation or Article 13(9) AMIF Regulation

Table 1

|  |  |  |  |
| --- | --- | --- | --- |
| Specific objective | Type of intervention | Code | Indicative amount (Euro) |
|  |  |  |  |

2.1.4. Technical assistance

Reference: Article 22(3), point (f) CPR; Article 36(5) CPR; Article 37 CPR; Article 95 CPR

|  |
| --- |
| Text field [5 000] (Technical assistance pursuant to Article 36(5) CPR) |
| Text field [3 000] (Technical assistance pursuant to Article 37 CPR) |

Table 1

|  |  |  |
| --- | --- | --- |
| Type of intervention | Code | Indicative amount (Euro) |
|  |  |  |

3. Financing plan

Reference: Article 22(3), point (g) CPR

3.1. Financial appropriations by year

Table 1: Financial appropriations by year

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Fund | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
|  |  |  |  |  |  |  |  |  |

3.2. Total financial allocations

Table 1: Total financial allocations by fund and national contribution

| Specific objective (SO) | Type of action | Basis for calculation EU support (total or public) | Union contribution (a) | National contribution (b)=(c)+(d) | Indicative breakdown of national contribution | Totale=(a)+(b) | Co-financing rate (f)=(a)/(e) |
| --- | --- | --- | --- | --- | --- | --- | --- |
| public (c ) | private (d) |
| SO 1 | Actions co-financed in line with Article 11(1) of ISF or BMVI Regulation or Article 12(1) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(2) of ISF or BMVI Regulation or Article 12(2) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(3) of ISF or BMVI Regulation or Article 12(3) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(4) of ISF or BMVI Regulation (excluding Special Transit Scheme) or Article 12(4) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(4) of BMVI Regulation (Special Transit Scheme)  |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(5) of ISF or BMVI Regulation or Article 12(5) of AMIF Regulation |  |  |  |  |  |  |  |
| Total for SO 1 |  |  |  |  |  |  |  |  |
| SO 2 | Actions co-financed in line with Article 11(1) of ISF or BMVI Regulation or Article 12(1) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(2) of ISF or BMVI Regulation or Article 12(2) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(3) of ISF or BMVI Regulation or Article 12(3) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(4) of ISF or BMVI Regulation  |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(5) of ISF or BMVI Regulation or Article 12(5) AMIF Regulation  |  |  |  |  |  |  |  |
| Total for SO 2 |  |  |  |  |  |  |  |  |
| SO 3 | Actions co-financed in line with Article 11(1) of ISF Regulation or Article 12(1) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(2) of ISF Regulation or Article 12(2) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(3) of ISF Regulation or Article 12(3) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(4) of ISF Regulation or Article 12(4) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 11(5) of ISF Regulation or Article 12(5) of AMIF Regulation |  |  |  |  |  |  |  |
| Total for SO 3 |  |  |  |  |  |  |  |  |
| SO 4 | Actions co-financed in line with Article 12(1) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 12(2) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 12(3) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 12(4) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line Article 12(5) of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 16 of AMIF Regulation |  |  |  |  |  |  |  |
|  | Actions co-financed in line with Article 17 of AMIF Regulation |  |  |  |  |  |  |  |
| Total for SO 4 |  |  |  |  |  |  |  |  |
| TA pursuant to Article 36(5) CPR |  |  |  |  |  |  |  |  |
| TA pursuant to Article 37 CPR |  |  |  |  |  |  |  |  |
| Grand total |  |  |  |  |  |  |  |  |

Table 2 [AMIF only]

|  |  |
| --- | --- |
|  | Number of persons per year |
| Category | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
| Resettlement |  |  |  |  |  |  |  |
| Humanitarian admission in line with Article 16(2) of AMIF Regulation |  |  |  |  |  |  |  |
| Humanitarian admission of vulnerable persons in line with Article 16(3) of AMIF Regulation |  |  |  |  |  |  |  |
| Transfer of applicants for or beneficiaries of international protection |  |  |  |  |  |  |  |
| [other categories] |  |  |  |  |  |  |  |

Table 3: Transfers between shared management funds**\***

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  Receiving fund / instrumentTransferring fund / instrument | AMIF | ISF | BMVI | ERDF | ESF+ | CF | EMFAF | Total  |
| AMIF |  |  |  |  |  |  |  |  |
| ISF |  |  |  |  |  |  |  |  |
| BMVI |  |  |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |  |  |

**\*** Cumulative amounts for all transfers during programming period.

Table 4: Transfers to instruments under direct or indirect management\*

|  |  |
| --- | --- |
|  | Transfer amount  |
| Instrument 1[name] |  |
| Instrument 2[name] |  |
| Total |  |

**\*** Cumulative amounts for all transfers during programming period.

4. Enabling conditions

Reference: Article 22(3), point (i)

Table 1

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Enabling condition | Fulfilment of enabling condition | Criteria | Fulfilment of criteria | Reference to relevant documents | Justification |
|  |  | Criterion 1 | Y/N | [500] | [1 000] |
|  |  | Criterion 2 |  |  |  |

5. Programme authorities

Reference: Article 22(3), point (k), Articles 71 and 84 CPR

Table 2

|  |  |  |  |
| --- | --- | --- | --- |
|  | Name of the institution [500] | Contact name and position [200] | e-mail [200] |
| Managing authority |  |  |  |
| Audit authority |  |  |  |
| Body which receives payments from the Commission |  |  |  |

6. Partnership

Reference: Article 22(3), point (h) CPR

|  |
| --- |
| Text field [10 000]  |

7. Communication and visibility

Reference: Article 22(3), point (j) CPR

|  |
| --- |
| Text field [4 500] |

8. Use of unit costs, lump sums, flat rates and financing not linked to costs

Reference: Articles 94 and 95 CPR

|  |  |  |
| --- | --- | --- |
| Intended use of Articles 94 and 95 | YES | NO |
| From the adoption programme will make use of reimbursement of the Union contribution based on unit costs, lump sums and flat rates under the priority according to Article 94 CPR (if yes, fill in Appendix 1) | [ ]  | [ ]  |
| From the adoption programme will make use of reimbursement of the Union contribution based on financing not linked to costs according to Article 95 CPR (if yes, fill in Appendix 2) | [ ]  | [ ]  |

**Appendix 1**

Union contribution based on unit costs, lump sums and flat rates

Template for submitting data for the consideration of the Commission
(Article 94)

|  |  |
| --- | --- |
| Date of submitting the proposal |  |
|  |  |

This Appendix is not required when EU-level simplified cost options established by the delegated act referred to in Article 94(4) are used.

A. Summary of the main elements

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Specific objective | Estimated proportion of the total financial allocation within the priority to which the SCO will be applied in % | Type(s) of operation covered | Indicator triggering reimbursement | Unit of measurement for the indicator triggering reimbursement | Type of SCO (standard scale of unit costs, lump sums or flat rates) | Amount (in EUR) or percentage (in case of flat rates) of the SCO |
|  |  | Code[[74]](#footnote-74) | Description | Code[[75]](#footnote-75)  | Description |  |  |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |

B. Details by type of operation (to be completed for every type of operation)

Did the managing authority receive support from an external company to set out the simplified costs below?

If so, please specify which external company: Yes/No – Name of external company

|  |  |
| --- | --- |
| 1. Description of the operation type including the timeline for implementation[[76]](#footnote-76) |  |
| 2. Specific objective(s) |  |
| 3. Indicator triggering reimbursement [[77]](#footnote-77) |  |
| 4. Unit of measurement for the indicator triggering reimbursement |  |
| 5. Standard scale of unit cost, lump sum or flat rate |  |
| 6. Amount per unit of measurement or percentage (for flat rates) of the SCO |  |
| 7. Categories of costs covered by the unit cost, lump sum or flat rate |  |
| 8. Do these categories of costs cover all eligible expenditure for the operation? (Y/N) |  |
| 9. Adjustment(s) method[[78]](#footnote-78)  |  |
| 10. Verification of the achievement of the units [delivered]- describe what document(s)/system will be used to verify the achievement of the units delivered?- describe what will be checked and by whom during management verifications.- describe what arrangements will be made to collect and store relevant data/documents  |  |
| 11. Possible perverse incentives, mitigating measures[[79]](#footnote-79) and the estimated level of risk (high/medium/low) |  |
| 12. Total amount (national and EU) expected to be reimbursed by the Commission on this basis |  |

C. Calculation of the standard scale of unit costs, lump sums or flat rates

1. Source of data used to calculate the standard scale of unit costs, lump sums or flat rates (who produced, collected and recorded the data; where the data are stored; cut-off dates; validation, etc.).

|  |
| --- |
|  |

2. Please specify why the proposed method and calculation based on Article 94(2) is relevant to the type of operation.

|  |
| --- |
|  |

3. Please specify how the calculations were made, in particular including any assumptions made in terms of quality or quantities. Where relevant, statistical evidence and benchmarks should be used and, if requested, provided in a format that is usable by the Commission.

|  |
| --- |
|  |

4. Please explain how you have ensured that only eligible expenditure was included in the calculation of the standard scale of unit cost, lump sum or flat rate.

|  |
| --- |
|  |

5. Assessment of the audit authority(ies) of the calculation methodology and amounts and the arrangements to ensure the verification, quality, collection and storage of data.

|  |
| --- |
|  |

**Appendix 2**

Union contribution based on financing not linked to costs

Template for submitting data for the consideration of the Commission
(Article 95)

|  |  |
| --- | --- |
| Date of submitting the proposal |  |
|  |  |

This Appendix is not required when amounts for EU-level financing not linked to costs established by the delegated act referred to in Article 95(4) are used.

A. Summary of the main elements

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Specific objective | The amount covered by the financing not linked to costs | Type(s) of operation covered | Conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission | indicator | Unit of measurement for the conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission | Envisaged type of reimbursement method used to reimburse the beneficiary or beneficiaries |
|  |  | Code[[80]](#footnote-80) | Description |  | Code[[81]](#footnote-81)  | Description |  |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |

B. Details by type of operation (to be completed for every type of operation)

|  |  |
| --- | --- |
| 1. Description of the operation type  |  |
| 2. Specific objective |  |
| 3. Conditions to be fulfilled or results to be achieved  |  |
| 4. Deadline for fulfilment of conditions or results to be achieved |  |
| 5. Indicator definition |  |
| 6. Unit of measurement for conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission  |  |
| 7. Intermediate deliverables (if applicable) triggering reimbursement by the Commission with schedule for reimbursements | Intermediate deliverables  | Envisaged date | Amounts (in EUR) |
|  |  |  |
|  |  |  |
| 8. Total amount (including EU and national funding) |  |
| 9. Adjustment(s) method |  |
| 10. Verification of the achievement of the result or condition (and where relevant, the intermediate deliverables):- describe what document(s)/system will be used to verify the achievement of the result or condition (and where relevant, each of the intermediate deliverables);- describe what will be checfked, by whom and how during management verifications (including on-the-spot);- describe what arrangements will be made to collect and store relevant data/documents. |  |
| 11. Use of grants in the form of financing not linked to costs.Does the grant provided by Member State to beneficiaries take the form of financing not linked to costs? [Y/N] |  |
| 12. Arrangements to ensure the audit trailPlease list the body(ies) responsible for these arrangements. |  |

**Appendix 3**

Thematic Facility

|  |  |  |  |
| --- | --- | --- | --- |
| Procedure reference / Specific objective | Modality: Specific action/ emergency assistance/ resettlement and humanitarian admission/ transfer of applicants for or beneficiaries of international protection | Type of intervention | EU contribution (EUR) |
| *<type='N' input='M'>* | *<type='S’ input='S'>* | *<type='S’ input='S'>* | *<type='N' input='M'>* |
| Description of the action | [text] |
| Member State submits a thematic facility amendment /declines | Date: *<type='N' input='M'>*Submit/Decline: *<type='S’ input='S'>* |
| Comment (if Member State declines or if indicators targets and millstones are not updated a justification should be encoded; tables 1 of point 2.1.3, 1 of point 3.1 and 1 of point 3.2 of this Annex should be revised) | [text] |

ANNEX VII

Template for the transmission of data – Article 42[[82]](#footnote-82)

Table 1: Financial information at priority and programme level for the ERDF, the Cohesion Fund, the ESF+, the JTF and the EMFAF (Article 42(2), point (a))

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. | 9. | 10. | 11. | 12. | 13. |
| The financial allocation of the priority based on the programme  | Cumulative data on the financial progress of the programme  |
| Priority  | Specific objective | Fund | Category of region[[83]](#footnote-83) | Basis for the calculation of Union contribution\*(Total contribution or public contribution)\*\* | Total financial allocation by fund and national contribution (EUR) | Co-financing rate(%) | Total eligible cost of selected operations (EUR) | Contribution from the funds to selected operations (EUR) | Proportion of the total financial allocation[[84]](#footnote-84) covered with selected operations (%)[column 8/ column 6x 100] | Total eligible expenditure declared by beneficiaries  | Proportion of the total financial allocation covered by eligible expenditure declared by beneficiaries (%)[column 11/column 6x100] | Number of selected operations  |
|  |  |  | Calculation |  | Calculation |  |
| *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='N’ input='G'>* | *<type='P’ input='G'>* | *<type='Cu' input='M'>* |  | *<type='P' input=' G '>* | *<type='Cu' input='M'>* | *<type='P’ input='G'>* | *<type='N' input='M'>* |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| Priority 1 | SO 1 | ERDF |  |  |  |  |  |  |  |  |  |  |
| Priority 2 | SO 2 | ESF+ |  |  |  |  |  |  |  |  |  |  |
| Priority 3 | SO 3 | Cohesion Fund | NA |  |  |  |  |  |  |  |  |  |
| Priority 4 | SO JTF | JTF\* |  |  |  |  |  |  |  |  |  |  |
| Total  |  | ERDF | Less developed |  | *<type='N' input=' G '>* |  | *<type='Cu' input=' G '>* |  | *<type='P' input=' G '>* | *<type='Cu' input=' G '>* | *<type='P’ input='G'>* | *<type='N' input=' G '>* |
| Total |  | ERDF | Transition |  | *<type='N' input=' G '>* |  | *<type='Cu' input=' G '>* |  | *<type='P' input=' G '>* | *<type='Cu' input=' G '>* | *<type='P’ input='G'>* | *<type='N' input=' G '>* |
| Total |  | ERDF | More developed |  | *<type='N' input=' G '>* |  | *<type='Cu' input=' G '>* |  | *<type='P' input=' G '>* | *<type='Cu' input=' G '>* | *<type='P’ input='G'>* | *<type='N' input=' G '>* |
| Total |  | ERDF | Special allocation to outermost regions or northern sparsely populated regions |  | *<type='N' input=' G '>* |  | *<type='Cu' input=' G '>* |  | *<type='P' input=' G '>* | *<type='Cu' input=' G '>* | *<type='P’ input='G'>* | *<type='N' input=' G '>* |
| Total |  | ESF+ | Less developed |  | *<type='N' input=' G '>* |  | *<type='Cu' input=' G '>* |  | *<type='P' input=' G '>* | *<type='Cu' input=' G '>* | *<type='P’ input='G'>* | *<type='N' input=' G '>* |
| Total |  | ESF+ | Transition |  | *<type='N' input=' G '>* |  | *<type='Cu' input=' G '>* |  | *<type='P' input=' G '>* | *<type='Cu' input=' G '>* | *<type='P’ input='G'>* | *<type='N' input=' G '>* |
| Total |  | ESF+ | More developed |  | <type='N' input=' G '> |  | <type='Cu' input=' G '> |  | <type='P' input=' G '> | <type='Cu' input=' G '> | <type='P’ input='G'> | <type='N' input=' G '> |
| Total |  | ESF+ | Special allocation to outermost regions or northern sparsely populated regions |  | <type='N' input=' G '> |  | <type='Cu' input=' G '> |  | <type='P' input=' G '> | <type='Cu' input=' G '> | <type='P’ input='G'> | <type='N' input=' G '> |
| Total |  | Cohesion Fund | NA |  | <type='N' input=' G '> |  | <type='Cu' input=' G '> |  | <type='P' input=' G '> | <type='Cu' input=' G '> | <type='P’ input='G'> | <type='N' input=' G '> |
| Total |  | EMFAF | NA |  | <type='N' input=' G '> |  | <type='Cu' input=' G '> |  | <type='P' input=' G '> | <type='Cu' input=' G '> | <type='P’ input='G'> | <type='N' input=' G '> |
| Total |  | JTF\* | Less developed |  | <type='N' input=' G '> |  | <type='Cu' input=' G '> |  | <type='P' input=' G '> | <type='Cu' input=' G '> | <type='P’ input='G'> | <type='N' input=' G '> |
| Total |  | JTF\* | transition |  | <type='N' input=' G '> |  | <type='Cu' input=' G '> |  | <type='P' input=' G '> | <type='Cu' input=' G '> | <type='P’ input='G'> | <type='N' input=' G '> |
| Total |  | JTF\* | More developed |  | <type='N' input=' G '> |  | <type='Cu' input=' G '> |  | <type='P' input=' G '> | <type='Cu' input=' G '> | <type='P’ input='G'> | <type='N' input=' G '> |
| Grand Total  |  | All Funds |  |  | <type='N' input=' G '> |  | <type='N' input=' G '> |  | <type='P' input=' G '> | <type='N' input=' G '> | <type='P’ input='G'> | <type='N' input=' G '> |

**\*** Amounts including the complementary support transferred from the ERDF and the ESF+.

**\*\*** Only total public contribution for the EMFAF.

Table 2: Breakdown of the cumulative financial data by type of intervention for the ERDF, the Cohesion Fund, the JTF and the ESF+ (Article 42(2), point (a))

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Priority | Specific objective | Characteristics of expenditure | Categorisation dimension | Financial data |
|  |  | Fund | Category of region[[85]](#footnote-85) | 1Intervention field | 2Form of **support** | 3Territorial delivery dimension | 4Economic activity dimension | 5Location dimension | 6 ESF+ secondary theme | 7Gender equality dimension | 8Macro-regional and sea-basin dimension | Total eligible cost of selected operations (EUR) | Total eligible expenditure declared by beneficiaries | Number of selected operations |
| <type='S’ input='S'> | <type='S’ input='S'> | <type='S’ input='S'> | <type='S’ input='S'> | <type='S’ input='S'> | <type='S’ input='S'> | <type='S’ input='S'> | <type='S’ input='S'> | <type='S’ input='S'> | <type='S’ input='S'> | <type='S’ input='S'> | <type='Cu' input='M'> | <type='Cu' input=M'> | <type='N' input=M'> |

Table 3: Financial information and its breakdown by type of intervention for the AMIF, the ISF and the BMVI (Article 42(2), point (a))

|  |  |  |  |
| --- | --- | --- | --- |
| Specific objective (repeated for each specific objective) | Type of action (Fund specific regulation, table 1 of point 2.1.3 of Annex VI) | Categorisation dimension  | Financial data |
|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8  | 9 | 10 | 11 | 12 | 13 |
|   |   | Co-financing rate (Annex VI)  | Secondary implementation dimension (Fund specific regulation, table 1 of point 2.1.4 of Annex VI) | Intervention field (Fund specific regulation: table 1 of point 2.1.2 of Annex VI) | Type of action (Fund specific regulation: table 2 of point 2.1.2 of Annex VI) | Total financial allocation (EUR) from the Fund and national contribution  | Total eligible cost of selected operations (EUR) | Contribution from the funds to selected operations (EUR) | Proportion of the total financial allocation covered with selected operations (%) [column 8/ column 7 x 100 ] | Total eligible expenditure declared by beneficiaries (EUR) | Proportion of the total financial allocation covered by eligible expenditure declared by beneficiaries (%) [column 11/column 7 x 100 ] | Number of selected operations  |
| *<type='S’ input='S'>* | *<type='S’ input='S'>* | *<type='S’ input='S'>* | *<type='S’ input='G'>* | *<type='S’ input='S'>* | *<type='S’ input='S'>* | *<type='N’ input='G'>* | *<type='Cu' input='M'>* | *<type='P' input=' G '>* |  *<type='P' input=' G '>* | *<type='Cu' input='M'>* |  *<type='P' input='G'*  | *<type='Cu' input='M'>* |
| Subtotal by Specific Objective | SO1 |   |   |   |   |   |   |   |   |   |   |   |

Table 4: Breakdown of the cumulative financial data by type of intervention (Article 42(2), point (a)) for the EMFAF

|  |  |  |  |
| --- | --- | --- | --- |
| Priority | Specific objective | Type of intervention(Annex IV of the EMFAF Regulation) | Financial data |
|  |  |  | Total eligible cost of selected operations (EUR) | Total eligible expenditure declared by beneficiaries | Number of selected operations |
| *<type='S’ input='S'>* | *<type='S’ input='S'>* | *<type='S’ input='S'>* | *<type='Cu' input='M'>* | *<type='Cu' input=M'>* | *<type='N' input=M'>* |

Table 5: Common and programme specific output indicators for the ERDF, the Cohesion Fund, the JTF and the EMFAF (Article 42(2), point (b))

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. | 9. | 10. | 11. | 12. | 13. |
| Data on output indicators from the programme[extracted from Table 1 of point 2.1.1.1.2 of Annex V] | Progress in output indicators to date |
| Priority  | Specific objective | Fund | Category of region[[86]](#footnote-86) | ID | Indicator name | Indicator breakdown[[87]](#footnote-87)(of which:) | Measurement unit | Milestone (2024) | Target 2029 | Selected operations[dd/mm/yy] | Implemented operations[dd/mm/yy] | Comments |
| *<type='S’ input='G'>*[[88]](#footnote-88) | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='N’ input='G'>* | *<type='N' input='M'>* | *<type='N' input='M'>* | *<type='S' input='M'>* |
| … |  |  |  |  |  |  |  |  |  |  |  |  |

Table 6: Common and programme specific output indicators for the ESF+ (Article 42(2), point (b))

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8[[89]](#footnote-89). | 9. | 10. | 11. | 12. |
| Data on all common output indicators as set out in Annexes I, II and III of the ESF+ Regulation and on programme specific indicators [extracted from Table 1 of point 2.1.1.1.2 of Annex V] | Progress in output indicators |
| Priority | Specific objective | Fund | Category of region | ID | Indicator name | Measurement unit | Milestone (2024) | Target 2029 (gender break-down optional) | Values achieved to date[dd/mm/yy] | Achievement ratio | Comments |
| *<type='S’ input='G'>*[[90]](#footnote-90) | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='N’ input='G'>* | *<type='N' input='M'>* | *<type='N' input='G'>* | *<type='S' input='M'>* |
|  |  |  |  |  |  |  |  | *M* | *F* | *N* | *T* | *M* | *F* | *N* | *T* | *M* | *F* | *N* | *T* |  |
| … |  |  |  |  |  |  |  |  |  |  |  |

Table 7: Common output indicators for the AMIF, the ISF and the BMVI (Article 42(2), point (b))

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. | 9. | 10. |
| Data on all common output indicators listed in annex VIII of AMIF/ISF/BMVI Regulations for each specific objective [extracted from Table 1 of point 2.1.2 of Annex VI] | Progress in output indicators to date |
| Specific objective | ID | Indicator name | Indicator breakdown (of which) | Measurement unit | Milestone (2024) | Target (2029) | Planned values in selected operations [[91]](#footnote-91) | Values achieved [[92]](#footnote-92) | Comments |
| [dd/mm/yy] | [dd/mm/yy] |
| *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='N’ input='G'>* | *<type='N' input='M'>* | *<type='N' input='M'>* | *<type='S' input='M'>* |

Table 8: Multiple support to enterprises for the ERDF, the Cohesion Fund and the JTF at programme level (Article 42(2), point (b))

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 1. | 2. | 3. | 4. | 5. |
| ID | Indicator name | Indicator breakdown(of which:) | Number of enterprises net of multiple support by[dd/mm/yy] | Comments |
| *<type='S' input='G'>* | *<type='S' input='G'>* | *<type='S' input='G'>* | *<type='N' input='M' >* | *<type='S' input='M'>* |
| RCO 01 | Enterprises supported | Micro |  |  |
| RCO 01 | Enterprises supported | Small |  |  |
| RCO 01 | Enterprises supported | Medium |  |  |
| RCO 01 | Enterprises supported | Large |  |  |
| RCO 01 | Enterprises supported | Total | *<type='N' input='G'>* |  |

Table 9: Common and programme specific result indicators for the ERDF, the Cohesion Fund, the JTF and the EMFAF (Article 42(2), point (b))

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. | 9. | 10. | 11. | 12. | 13. | 14. | 15. |
| Data on result indicators from the programme [extracted from Table 5 of Annex VII ] | Progress in result indicators to date |
| Priority | Specific objective | Fund | Category of region[[93]](#footnote-93) | ID | Indicator name | Indicator breakdown[[94]](#footnote-94)(of which:) | Measurement unit | Baseline in the programme | Target 2029 | Selected operations [dd/mm/yy] | Implemented operations [dd/mm/yy] | Comments |
| Baseline | Planned achievements | Baseline | Achieved |
| *<type='S’ input='G'>*[[95]](#footnote-95) | *<type='S’ input='G'>* | *<type='S’ input='G'>* |  | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='N’ input='G'>* | *<type='N’ input='G'>* | *<type='N' input='M' >* | *<type='N' input='M' >* | *<type='N' input='M' >* | *<type='N' input='M' >* | *<type='S' input='M'>* |
| … |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

Table 10: Common and programme specific result indicators for the ESF+ (Article 42(2), point (b))

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. | 9. | 10.[[96]](#footnote-96) | 11. | 12. | 13. |
| Data on all common result indicators as set out in Annexes I, II and III of the ESF+ Regulation and on programme specific indicators [extracted from Table 5 of Annex VII] | Progress in result indicators |
| Priority | Specific objective | Fund | Category of region | ID | Indicator name | Output indicator used as a basis for target setting | Measurement unit of indicator | Measurement unit of target | Target 2029 (gender break-down optional) | Values achieved to date[dd/mm/yy] | Achievement ratio | Comments |
| *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='N’ input='G'>* | *<type='N' input='M'>* | *<type='N' input='G'>* | *<type='S' input='M'>* |
|  |  |  |  |  |  |  |  |  | *M* | *F* | *N* | *T* | *M\** | *F* | *N\** | *T* | *M* | *F* | *N* | *T* |  |
| … |  |  |  |  |  |  |  |  |  |  |  |  |

**\*** Not requested for specific objective in point (m) of Article 4(1) ESF+.

Table 11: Common result indicators for the AMIF, the ISF and the BMVI (Article 42(2), point (a))

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. | 9. | 10. | 11. |
| Data on all common result indicators listed in Annex VIII of AMIF/ISF/BMVI Regulations for each specific objective [extracted from Table 2 of point 2.1.2 of Annex VI] |  | Progress in result indicators to date |
| Specific objective | ID | Indicator name | Indicator breakdown (of which) | Measurement unit (for indicators and baseline) | Baseline | Target 2029 | Measurement unit (for target) | Planned values in selected operations [[97]](#footnote-97) | Values achieved [[98]](#footnote-98) | Comments |
| [dd/mm/yy] | [dd/mm/yy] |
| *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='S’ input='G'>* | *<type='N’ input='G'>* | *<type='N’ input='G'>* | *<type='N’ input='G'>* | *<type='N’ input='G'>* | *<type='S’ input='G'>* | *<type='S' input='M'>* |

Table 12: Financial instruments data for the Funds (Article 42(3))

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Priority[[99]](#footnote-99) | Characteristics of expenditure | Eligible expenditure by product | Amount of private and public resources mobilised in addition to the contribution from the Funds | Amount of management costs and fees declared as eligible expenditure, including (MCF are to be reported separately in case of direct award and in case of competitive tender)[[100]](#footnote-100): | Interest and other gains generated by support from the Funds to financial instruments referred to in Article 60 | Resources returned attributable to support from the Funds as referred to in Article 62 | For guarantees, total value of loans, equity or quasi-equity investments in final recipients guaranteed with programme resources and actually disbursed to final recipients |
|  | Fund | Specific objective | Category of region [[101]](#footnote-101)  | Loans(form of support code for FI) | Guarantee(form of support code for FI) | Equity or quasi-equity (form of support code for FI) | Grants within a financial instrument operation (form of support code for FI) | Loans(form of support code for FI) | Guarantee(form of support code for FI) | Equity or quasi-equity(form of support code for FI) | Grants within a financial instrument operation(form of support code for FI) | Management costs and fees for holding funds depending on the financial product operating within the holding fund structure | Management costs and fees for specific funds (set-up either with or without the holding fund structure) by financial product  |
| Loans | Guarantees | Equity | Loans | Guarantees | Equity |  |  |  |
| Input = selection | Input = selection | Input = selection | Input = selection | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual | Input = manual |

ANNEX VIII

A forecast of the amount for which the Member State expects to submit payment applications for the current
and the subsequent calendar year (Article 69(10))

For each programme, to be filled in by Fund and category of region, where appropriate.

| Fund | Category of region | Expected Union contribution |
| --- | --- | --- |
| [current calendar year] | [subsequent calendar year] |
| January - October | November - December | January- December |
| ERDF | Less developed regions | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
|  | Transition regions | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
|  | More developed regions | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
|  | Outermost regions and Northern sparsely populated regions[[102]](#footnote-102) | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
| ETC |  | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
| ESF+ | Less developed regions | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
|  | Transition regions | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
|  | More developed regions | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
|  | Outermost regions and Northern sparsely populated regions[[103]](#footnote-103) | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
| Cohesion Fund |  | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
| JTF\* | Less developed regions | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
| JTF\* | Transition regions | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
| JTF\* | More developed regions | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
| EMFAF |  | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
| AMIF |  | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
| ISF |  | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |
| BMVI |  | *<type="Cu" input="M">* | *<type="Cu" input="M">* | *<type="Cu" input="M">* |

**\*** Amounts including the complementary funding transferred from the ERDF and the ESF+ as appropriate.

ANNEX IX

Communication and visibility – Articles 47, 49 and 50

1. The use and technical characteristics of the Union emblem

1.1. The emblem of the European Union shall be prominently featured on all communication materials such as printed or digital products, websites and their mobile views relating to the implementation of an operation, used for the public or for participants.

1.2. The statement ‘Funded by the European Union’ or ‘Co-funded by the European Union’ shall be spelled out in full and placed next to the emblem.

1.3. The typeface to be used in conjunction with the Union emblem may be any of the following fonts: Arial, Auto, Calibri, Garamond, Trebuchet, Tahoma, Verdana, Ubuntu. Italic, underlined variations or font effects shall not be used.

1.4. The positioning of the text in relation to the Union emblem shall not interfere with the Union emblem in any way.

1.5. The font size used shall be proportionate to the size of the emblem.

1.6. The colour of the font shall be reflex blue, black or white depending on the background.

1.7. The European Union emblem shall not be modified or merged with any other graphic elements or texts. If other logos are displayed in addition to the Union emblem, the Union emblem shall have at least the same size, measured in height or width, as the biggest of the other logos. Apart from the Union emblem, no other visual identity or logo must be used to highlight the support from the Union.

1.8. Where several operations are taking place at the same location, supported by the same or different funding instruments, or where further funding is provided for the same operation at a later date, at least one plaque or billboard shall be displayed.

1.9. Graphic standards for the Union emblem and the definition of standard colours:

(A) SYMBOLIC DESCRIPTION

Against a background of blue sky, twelve golden stars form a circle representing the union of the peoples of Europe. The number of stars is fixed, twelve being the symbol of perfection and unity.

(B) HERALDIC DESCRIPTION

On an azure field a circle of twelve golden mullets, their points not touching.

(C) GEOMETRIC DESCRIPTION



The emblem has the form of a blue rectangular flag of which the fly is one and a half times the length of the hoist. Twelve gold stars situated at equal intervals form an invisible circle whose centre is the point of intersection of the diagonals of the rectangle. The radius of the circle is equal to one third of the height of the hoist. Each of the stars has five points which are situated on the circumference of an invisible circle whose radius is equal to one eighteenth of the height of the hoist. All the stars are upright, i.e. with one point vertical and two points in a straight line at right angles to the mast. The circle is arranged so that the stars appear in the position of the hours on the face of a clock. Their number is invariable.

(D) REGULATION COLOURS

The emblem is in the following colours: PANTONE REFLEX BLUE for the surface of the rectangle; PANTONE YELLOW for the stars

(E) FOUR-COLOUR PROCESS

If the four-colour process is used, recreate the two standard colours by using the four colours of the four-colour process.

PANTONE YELLOW is obtained by using 100 % ‘Process Yellow’.

PANTONE REFLEX BLUE is obtained by mixing 100 % ‘Process Cyan’ and 80 % ‘Process Magenta’.

INTERNET

PANTONE REFLEX BLUE corresponds in the web-palette colour RGB:0/51/153 (hexadecimal: 003399) and PANTONE YELLOW corresponds in the web-palette colour RGB: 255/204/0 (hexadecimal: FFCC00).

MONOCHROME REPRODUCTION PROCESS

Using black, outline the rectangle in lack and print the stars in black on white.



Using blue (Reflex Blue), use 100 % with the stars reproduced in negative white.



REPRODUCTION ON A COLOURED BACKGROUND

If there is no alternative to a coloured background, put a white border around the rectangle, the width of the border being 1/25th of the height of the rectangle.



The principles of the use of the Union emblem by third parties are set out in the Administrative agreement with the Council of Europe regarding the use of the European emblem by third parties[[104]](#footnote-104).

2. The licence on intellectual property rights referred to in Article 49(6) grant to the EU the following rights:

2.1. internal use i.e. right to reproduce, copy and make available the communication and visibility materials to EU and EU Member States' institutions and agencies and their employees;

2.2. reproduction of the communication and visibility materials by any means and in any form, in whole or in part;

2.3. communication to the public of the communication and visibility materials by using any and all means of communication;

2.4. distribution to the public of the communication and visibility materials (or copies thereof) in any and all forms;

2.5. storage and archiving of the communication and visibility materials;

2.6. sub-licensing of the rights on the communication and visibility materials to third parties;

2.7. additional rights may be granted to the EU.

ANNEX X

Elements for funding agreements and strategy documents – Article 59(1) and 59(5)

1. Required elements of the funding agreement for financial instruments implemented under Article 59(5):

(a) the investment strategy or policy including implementation arrangements, financial products to be offered, final recipients targeted, and envisaged combination with grant support (as appropriate);

(b) a business plan or equivalent documents for the financial instrument to be implemented, including the estimated leverage effect referred to in point (a) of Article 58(3);

(c) the target results that the financial instrument concerned is expected to achieve to contribute to the specific objectives and results of the relevant priority;

(d) provisions for monitoring of the implementation of investments and of deal flows including reporting by the financial instrument to the holding fund and to the managing authority to ensure compliance with Article 42;

(e) audit requirements, such as minimum requirements for documentation to be kept at the level of the financial instrument (and at the level of the holding fund where appropriate) in accordance with Article 82, and requirements in relation to the maintenance of separate records for the different forms of support in compliance with Article 58(6) (where applicable), including provisions and requirements regarding access to documents by audit authorities of Member States, Commission auditors and the Court of Auditors in order to ensure a clear audit trail;

(f) requirements and procedures for managing the contribution provided by the programme in accordance with Article 92 and for the forecast of deal flows, including requirements for fiduciary/separate accounting as set out in Article 59;

(g) requirements and procedures for managing interest and other gains generated as referred to in Article 60, including acceptable treasury operations/investments, and the responsibilities and liabilities of the parties concerned;

(h) provisions regarding the calculation and payment of management costs incurred or of the management fees of the financial instrument in compliance with Article 68(1)(d);

(i) provisions regarding the re-use of resources attributable to the support from the Funds in compliance with Article 62 and an exit policy for the contribution from the Funds out of the financial instrument;

(j) conditions for a possible total or partial withdrawal of programme contributions from programmes to financial instruments, including the holding fund where applicable;

(k) provisions to ensure that bodies implementing financial instruments manage financial instruments with independence and in accordance with the relevant professional standards, and act in the exclusive interest of the parties providing contributions to the financial instrument;

(l) provisions for the winding-up of the financial instrument;

(m) other terms and conditions for making contributions from the programme to the financial instrument;

(n) terms and conditions to ensure that through contractual arrangements final recipients comply with the requirements of displaying of durable plaques or billboards in accordance with Article 50(1), point (c), and other arrangements to ensure compliance with Article 50 and Annex IX for the acknowledgement of support from the Funds;

(o) appraisal and selection of bodies implementing the financial instruments, including calls for expression of interest or public procurement procedures (only where financial instruments are organised through a holding fund).

2. Required elements of the strategy document(s) referred to in Article 59(1):

(a) the investment strategy or policy of the financial instrument, general terms and conditions of envisaged debt products, target recipients and actions to be supported;

(b) a business plan or equivalent documents for the financial instrument to be implemented, including the estimated leverage effect referred to in Article 58;

(c) the use and re-use of resources attributable to the support of the Funds in accordance with Articles 60 and 62;

(d) monitoring and reporting of the implementation of the financial instrument to ensure compliance with Article 42 and Article 50.

ANNEX XI

Key requirements of management and control systems
and their classification - Article 69(1)

Table 1 - Key requirements of management and control system

|  |  |
| --- | --- |
|  | Bodies/authorities concerned |
| 1 | Appropriate separation of functions and written arrangements for reporting, supervising and monitoring delegated tasks to an intermediate body | Managing authority |
| 2 | Appropriate criteria and procedures for the selection of operations | Managing authority |
| 3 | Appropriate information to beneficiaries on applicable conditions for support for the selected operations | Managing authority |
| 4 | Appropriate management verifications, including appropriate procedures for checking fulfilment of conditions for financing not linked to costs and for simplified cost options | Managing authority  |
| 5 | Effective system to ensure that all documents necessary for the audit trail are held | Managing authority |
| 6 | Reliable electronic system (including links with electronic data exchange systems with beneficiaries) for recording and storing data for monitoring, evaluation, financial management, verifications and audits, including appropriate processes to ensure the security, integrity and confidentiality of the data and the authentication of users | Managing authority  |
| 7 | Effective implementation of proportionate anti-fraud measures | Managing authority |
| 8 | Appropriate procedures for drawing up the management declaration | Managing authority |
| 9 | Appropriate procedures for confirming that the expenditure entered into the accounts is legal and regular  | Managing authority  |
| 10 | Appropriate procedures for drawing up and submission of payment applications and of accounts and confirming completeness, accuracy and veracity of the accounts | Managing authority/ Body carrying out the accounting function |
| 11 | Appropriate separation of functions and functional independence between the audit authority (and any body carrying out audit work under the responsibility of the audit authority on which the audit authority relies and supervises, if applicable) and the other programme authorities and audit work carried out in accordance with internationally accepted audit standards | Audit authority |
| 12 | Appropriate system audits | Audit authority |
| 13 | Appropriate audits of operations | Audit authority |
| 14 | Appropriate audits of accounts | Audit authority |
| 15 | Appropriate procedures for providing a reliable audit opinion and for preparing the annual control report | Audit authority |

Table 2 - Classification of management and control systems with regard to their effective functioning

|  |  |
| --- | --- |
| Category 1 | Works well. No or only minor improvement needed. |
| Category 2 | Works. Some improvement needed. |
| Category 3 | Works partially. Substantial improvement needed. |
| Category 4 | Essentially does not work. |

ANNEX XII

Detailed rules and template for the reporting of irregularities - Article 69(2)

Section 1
Detailed rules for the reporting of irregularities

1.1. Irregularities to be reported

The following irregularities shall be reported to the Commission in accordance with Article 69(2):

(a) irregularities that have been the subject of a first written assessment by a competent authority, either administrative or judicial, which has concluded on the basis of specific facts that an irregularity has been committed, regardless of the possibility that this conclusion may subsequently have to be revised or withdrawn as a result of developments in the course of the administrative or judicial procedure;

(b) irregularities that give rise to the initiation of administrative or judicial proceedings at national level in order to establish the presence of fraud or other criminal offences, as referred to in Article 3(2), points (a) and (b) and Article 4(1), (2) and (3) of Directive (EU) 2017/1371 of the European Parliament and of the Council[[105]](#footnote-105) and Article 1(1), point (a) of the Convention drawn up on the basis of Article K.3 of the Treaty on European Union, on the protection of the European Communities' financial interests for the Member States not bound by the above mentioned Directive;

(c) irregularities preceding a bankruptcy;

(d) specific irregularity or group of irregularities for which the Commission submits a written request for information to the Member State following the initial reporting from a Member State.

1.2. Irregularities exempted from reporting

The following irregularities shall not be reported:

(a) irregularities for an amount lower than EUR 10 000 in contribution from the Funds; this does not apply in the case of irregularities which are interlinked and the total amount of which exceeds EUR 10 000 in contribution from the Funds, even when none of them exceeds that ceiling on its own;

(b) cases where the irregularity consists solely of the failure to execute, in whole or in part, an operation included in the co-financed programme owing to the non-fraudulent bankruptcy of the beneficiary;

(c) cases brought to the attention of the managing authority or the authority in charge of the accounting function by the beneficiary voluntarily and before detection by either authority, whether before or after the payment of the public contribution;

(d) cases which are detected and corrected by the managing authority before inclusion in a payment application submitted to the Commission.

The exemptions in points (c) and (d) shall not apply to irregularities referred to under point 1.1 (b).

1.3. Determination of the reporting Member State

The Member State in which the irregular expenditure is incurred by the beneficiary and paid in implementing the operation shall be responsible for reporting the irregularity in accordance with Article 69(2). For programmes under the ETC goal, the reporting Member State shall inform the managing authority and the audit authority of the programme.

1.4. Timing of the reporting

Within two months following the end of each quarter from their detection or as soon as additional information on the reported irregularities becomes available. However, a Member State shall immediately report to the Commission irregularities discovered or supposed to have occurred, indicating any other Member States concerned, in case the irregularities may have repercussions outside its territory.

1.5. Submission, use and processing of information reported

Where national provisions provide for the confidentiality of investigations, only information subject to the authorisation of the competent tribunal, court or other body in accordance with national rules may be reported.

The information reported in accordance with this Annex may be used for the purposes of protecting the financial interests of the Union, in particular to perform risk analyses and develop systems serving to identify risks more effectively.

This information shall not be used for any purposes other than the protection of the Union's financial interests unless the authorities that have provided it have given their express consent.

This information shall be covered by professional secrecy and may not be disclosed to persons other than those in the Member States or within the Union’s institutions, agencies, offices and bodies whose duties require that they have access to it.

Section 2
Template for electronic reporting
via the Irregularity Management System (IMS)

|  |  |  |
| --- | --- | --- |
|  | Identification | Fund |
| Member State |
| Reporting authority |
| Year |
| Sequence number |
| Programming period |
| Reference number – national |
| Drafting information | Initiating authority - complete name |
| Request language |
| Drafting date |
| Quarter |
| special request | Necessity to inform other countries |
| Person found in other case(s) |
| status | Proceedings |
| case closure | Case closure date |
| Personal data | Identification of persons involved | Legal person / natural person |
| Legal status |
| National ID number |
| Company name /Family name  |
| Trade name / First name |
| Parent name / Independent prefix |
| Street |
| Postcode |
| City |
| Territorial unit where the person is registered | Member State |
| Relevant NUTS level |
| Flagged on basis of Regulation (EU) 2018/1046 (Articles 135-145) |
| Justification for non-disclosure of personal data |
|  | Description of operation | CCI number |
| Objective – CCI |
| Category of region where applicable |
| Goal (IGJ/ETC) |
| Programme |
| Programme closure date |
| Commission decision - number |
| Commission decision - date |
| Policy objective |
| Priority |
| Specific objective |
| Territorial unit where the operation takes place | Member State |
| Relevant NUTS level |
| Competent authority |
| Operation - specific - project | Project | Project |
| Project – name |
| Project – number |
| Co-financing rate |
| Total amount of expenditure |
| Total amount of irregular expenditure |
| Irregularity | Information leading to a suspicion of an irregularity  | Date |
| Source |
| Provisions breached  | Provisions – EU: Type, Title, reference, Article and paragraph as relevant |
| Provisions - national – Type, title, reference, Article and paragraph as relevant |
| Other States involved  | Member State(s) |
| Non-Member State(s) |
| Specific information on the irregularity | Start date Irregularity |
| End date irregularity |
| Type of irregularity - typology |
| Type of irregularity - category |
| Modus operandi |
| Additional information |
| Findings of the administration |
| Classification of the irregularity  |
|  | Offences pursuant to Directive (EU) 2017/1371 |
| Detection |  | Date of discovery (Primary administrative or judicial finding) |
| Reason for performing a control (why) |
| Type and/or method of control (how) |
| Control carried out after payment(s) of public contribution |
| Competent authority |
| OLAF-Case | OLAF number – Reference |
| OLAF number – Year |
| OLAF number – Sequence |
| Status |
| Total Amounts | Financial impact | Expenditure – EU contribution |
| Expenditure – National contribution |
| Expenditure - Public contribution |
| Expenditure - Private contribution |
| Expenditure – Total |
| Irregular amount – EU contribution |
| Irregular amount - National contribution |
| Irregular amount - Public contribution |
| whereof not paid – EU contribution |
| whereof not paid – National contribution- |
| whereof not paid - Public contribution |
| Where of paid – EU contribution |
| Where of paid – National contribution  |
| Where of paid - Public contribution |
| Comments |
| Penalties | Procedures | Procedures initiated to impose penalties  |
| Type of procedure |
| Start date of procedure |
| (Expected) end date of procedure |
| Status of procedure |
| Sanctions | Penalties |
| Penalties – Category |
| Penalties – Type |
| Penalties applied |
| Amounts related to financial penalties |
| End date of procedure |
| Comments | Comments | Comments - Reporting authority |
| Attachments | Attachments |
| Attachments description |
| Request for cancellation  | Cancellation reasons |
| Rejection reasons |

ANNEX XIII

Elements for the audit trail – Article 69(6)

As regards Union contribution based on unit costs, lump sums and flat rates reimbursed by the Commission under Article 94 and on financing not linked to costs reimbursed by the Commission under Article 95, only the elements set out under Sections III and IV respectively shall be required.

I. Obligatory elements of audit trail for grants taking the forms set out in Article 53(1), points (a) to (e):

|  |
| --- |
| 1. documentation that allows verification of the application of the selection criteria by the managing authority, as well as documentation relating to the overall selection procedure and the approval of operations; |
| 2. document (grant agreement or equivalent) setting out the conditions for support signed between the beneficiary and the managing authority/intermediate body; |
| 3. accounting records of payment claims submitted by the beneficiary, as recorded in the managing authority/intermediate body's electronic system; |
| 4. documentation on verifications addressing the non-relocation and durability requirements as set out in Articles 65, 66(2) and 73(2)(h); |
| 5. proof of payment of the public contribution to the beneficiary and of the date the payment was made; |
| 6. documentation evidencing the administrative and, where applicable, on-the-spot checks carried out by the managing authority/intermediate body; |
| 7. information on audits carried out; |
| 8. documentation relating to the follow-up by the managing authority/intermediate body for purposes of management verifications and audit findings; |
| 9. documentation demonstrating verification of compliance with applicable law; |
| 10. data in relation to output and result indicators enabling reconciliation with corresponding targets and reported milestones; |
| 11. documentation related to financial corrections to and deductions from the expenditure declared to the Commission to ensure compliance with Article 98(6)) made by the managing authority/intermediate body/the body to which the accounting function has been entrusted to; |
| 12. for grants taking the form set out in Article 53(1), point (a), the invoices (or documents of equivalent probative value) and proof of their payment by the beneficiary, as well as accounting records of the beneficiary relating to the expenditure declared to the Commission; |
| 13. for grants taking the forms set out in Article 53(1), points (b), (c) and (d) and as applicable, documents justifying the method of establishing unit costs, lump sums and flat rates; the categories of costs forming the basis for the calculation; documents evidencing costs declared under other categories of costs to which a flat rate applies; the explicit agreement by the managing authority on the draft budget on the document setting out the conditions for support; documentation on the gross employment costs and on calculation of the hourly rate; where simplified cost options are used based on existing methods, documentation confirming compliance with similar type of operations and with documentation required by the existing method, if any. |

II. Obligatory elements for audit trail for financial instruments:

1. documents on the establishment of the financial instrument, such as funding agreements, etc.;

2. documents identifying the amounts contributed by each programme and under each priority to the financial instrument, the expenditure that is eligible under each programme and the interest and other gains generated by support from the Funds and re-use of resources attributable to the Funds in accordance with Articles 60 and 62;

3. documents on the functioning of the financial instrument, including those related to monitoring, reporting and verifications;

4. documents concerning exits of programme contributions and the winding-up of the financial instrument;

5. documents on the management costs and fees;

6. application forms, or equivalent, submitted by final recipients with supporting documents, including business plans and, when relevant, previous annual accounts;

7. checklists and reports from the bodies implementing the financial instrument;

8. declarations made in connection with *de minimis* aid;

9. agreements signed in connection with the support provided by the financial instrument, including for equity, loans, guarantees or other forms of investment provided to final recipients;

10. evidence that the support provided through the financial instrument is to be used for its intended purpose;

11. records of the financial flows between the managing authority and the financial instrument, and within the financial instrument at all levels, down to the final recipients, and, for guarantees, proof that underlying loans were disbursed;

12. separate records or accounting codes for a programme contribution paid or a guarantee committed by the financial instrument for the benefit of the final recipient.

III. Obligatory elements of audit trail for reimbursement of the Union contribution by the Commission under Article 94 to be kept at the level of the managing authority/ intermediate body:

1. documents evidencing the ex-ante agreement of the Commission on the types of operations covered by unit costs, lump sums and flat rates and the definition of related amounts and rates, as well as the methods for adjustment of the amounts (programme approval or amendment;

2. documents evidencing the categories of costs and the amounts forming the basis for the calculation to which the flat rate applies;

3. documents evidencing the fulfilment of the conditions for reimbursement by the Commission;

4. documents evidencing the adjustment of the amounts, where relevant;

5. documents evidencing the calculation method if Article 94(2), second subparagraph, point (a) is applied;

6. documentation relating to the selection and approval of operations covered by the reimbursement of the Union contribution by the Commission on the basis of simplified cost options;

7. document setting out the conditions of support signed by the beneficiary and the managing authority/intermediate body stating the form of support provided to beneficiaries;

8. documentation evidencing management verifications and audits carried out in accordance with the third subparagraph of Article 94(3);

9. proof of payment of the public contribution to the beneficiary and of the date the payment was made.

IV. Obligatory elements of audit trail for reimbursement of the Union contribution by the Commission under Article 95 to be kept at the level of the managing authority/ intermediate body:

1. documents evidencing the ex-ante agreement of the Commission on the conditions to be fulfilled or the results to be achieved and corresponding amounts (programme approval or amendment);

2. documentation relating to the selection and approval of operations covered by the reimbursement of the Union contribution by the Commission based on Article 95 (financing not linked to costs);

3. document setting out the conditions of support signed by the beneficiary and the managing authority/intermediate body stating the form of support provided to beneficiaries;

4. documentation evidencing management verifications and audits carried out in accordance with the second subparagraph of Article 95(3);

5. proof of payment of the public contribution to the beneficiary and of the date the payment was made;

6. documents evidencing the fulfilment of conditions or the achievement of results at each stage if done in steps, as well as before final expenditure is declared to the Commission.

ANNEX XIV

E-Cohesion: electronic data exchange systems
between programme authorities and beneficiaries - Article 69(8)

1. Responsibilities of programme authorities regarding the characteristics of electronic data exchange systems

1.1. Ensuring the data security, data integrity, data confidentiality, authentication of the sender in accordance with Articles 69(6), 69(8), 72(1), point (e) and 82 of this Regulation.

1.2. Ensuring availability and functioning during and outside standard office hours (except during technical maintenance).

1.3. Ensuring that the system aims to make use of logical, simple and intuitive functions and interface.

1.4. Use of functionalities in the system providing for:

(a) interactive forms and/or forms prefilled by the system on the basis of the data which are stored at consecutive steps of the procedures;

(b) automatic calculations, where applicable;

(c) automatic embedded controls which reduce repeated exchanges of documents or information;

(d) system-generated alerts to inform the beneficiary that certain actions can be performed;

(e) online status tracking allowing the beneficiary to monitor the current status of the project;

(f) all previously available data and documents processed by the electronic data exchange system.

1.5. Ensuring record-keeping and data storage in the system enabling both administrative verifications of payment claims submitted by beneficiaries in accordance with Article 74(2) and audits.

2. Responsibilities of programme authorities regarding the modalities for transmission of documents and data for all exchanges

2.1. Ensuring the use of electronic signature compatible with one of the three types of electronic signature defined by Regulation (EU) No 910/2014 of the European Parliament and of the Council[[106]](#footnote-106).

2.2. Providing for storing the date of transmission of documents and data by the beneficiary to the programme authorities and vice versa.

2.3. Ensuring accessibility directly through an interactive user interface (a web application) or via a technical interface that allows for automatic synchronisation and transmission of data between beneficiaries' and Member States' systems.

2.4. Ensuring the protection of privacy of personal data for individuals and commercial confidentiality for legal entities according to Directive 2002/58/EC of the European Parliament and of the Council[[107]](#footnote-107) and Regulation (EU) 2016/679 of the European Parliament and of the Council[[108]](#footnote-108).

ANNEX XV

SFC2021: electronic data exchange system
between the Member States and the Commission – Article 69(9)

1. Responsibilities of the Commission

1.1. Ensuring the operation of an electronic data exchange system (‘SFC2021’) for all official exchanges of information between the Member State and the Commission. SFC2021 shall contain at least the information specified in the templates established in accordance with this Regulation.

1.2. Ensuring the following characteristics of SFC2021:

(a) interactive forms or forms pre-filled by the system on the basis of the data already recorded in the system previously;

(b) automatic calculations, where they reduce the encoding effort of users;

(c) automatic embedded controls to verify internal consistency of transmitted data and consistency of this data with applicable rules;

(d) system generated alerts warning SFC2021 users that certain actions can or cannot be performed;

(e) online status tracking of the treatment of information entered into the system;

(f) availability of historical data in respect of all information entered for a programme;

(g) availability of a compulsory electronic signature within the meaning of Directive 1999/93/EC of the European Parliament and of the Council which will be recognised as evidence in legal proceedings.

1.3. Ensuring an information technology security policy for SFC2021 applicable to the personnel using the system in accordance with relevant Union rules, in particular Commission Decision C(2006)3602[[109]](#footnote-109) and its implementing rules.

1.4. Designating a person or persons responsible for defining, maintaining and ensuring the correct application of the security policy to SFC2021.

2. Responsibilities of Member States

2.1. Ensuring that the programme authorities of the Member State identified in accordance with Article 71(1) as well as the bodies identified to carry out certain tasks under the responsibility of the managing authority or the audit authority in accordance with Article 71(2) and (3) of this Regulation enter into SFC2021 the information for the transmission of which they are responsible and any updates thereto.

2.2. Ensuring the verification of information submitted by a person other than the person who entered the data for that transmission.

2.3. Providing arrangements for the separation of the above tasks through the Member State’s management and control information systems connected automatically with SFC2021.

2.4. Appointing a person or persons responsible for managing access rights to fulfil the following tasks:

(a) identifying users requesting access, making sure those users are employed by the organisation;

(b) informing users about their obligations to preserve the security of the system;

(c) verifying the entitlement of users to the required privilege level in relation to their tasks and their hierarchical position;

(d) requesting the termination of access rights when those access rights are no longer needed or justified;

(e) promptly reporting suspicious events that may bring prejudice to the security of the system;

(f) ensuring the continued accuracy of user identification data by reporting any changes;

(g) taking the necessary data protection and commercial confidentiality precautions in accordance with Union and national rules;

(h) informing the Commission of any changes affecting the capacity of the Member State authorities or users of SFC2021 to carry out the responsibilities referred to in paragraph 1 or their personal capacity to carry out responsibilities referred to in points (a)-(g).

2.5. Providing arrangements for the respect of the protection of privacy and of personal data for individuals, and of commercial confidentiality for legal entities in accordance with Directive 2002/58/EC of the European Parliament and of the Council[[110]](#footnote-110), Regulation (EU) 2016/679 and Regulation (EU) 2018/1725.

2.6. Adopting national, regional or local information security policies on access to SFC2021 based on a risk assessment applicable to all authorities using SFC2021 and addressing the following aspects:

(a) the IT security aspects of the work performed by the person or persons responsible for managing the access rights referred to in point 2.4 of section II in case of application of direct use;

(b) for national, regional or local computer systems connected to SFC2021, through a technical interface referred to in point 1 the security measures for those systems allowing to be aligned with SFC2021 security requirements and covering:

(i) physical security;

(ii) data media and access control;

(iii) storage control;

(iv) access and password control;

(v) monitoring;

(vi) interconnection with SFC2021;

(vii) communication infrastructure;

(viii) human resources management prior to employment, during employment and after employment;

(ix) incident management.

2.7. Making the document referred to in point 2.6 available to the Commission upon request.

2.8. Appointing a person or persons responsible for maintaining and ensuring the application of the national, regional or local IT security policies and acting as a contact point with the person or persons designated by the Commission and referred to in point 1.4.

3. Joint responsibilities of the Commission and the Member States

3.1. Ensuring accessibility either directly through an interactive user-interface (i.e. a web-application) or via a technical interface using pre-defined protocols (i.e. web-services) that allows for automatic synchronisation and transmission of data between Member States information systems and SFC2021.

3.2. Providing for the date of electronic transmission of the information by the Member State to the Commission and vice versa in electronic data exchange which constitutes the date of submission of the document concerned.

3.3. Ensuring that official data is exchanged exclusively through SFC2021 (with the exception where force majeure occurs) and that information provided in the electronic forms embedded in SFC2021 (hereinafter referred to as ‘structured data’) is not replaced by non-structured data and that structured data prevails over non structured data in case of inconsistencies.

In the event of *force majeure*, a malfunctioning of SFC2021 or a lack of a connection with SFC2021 exceeding one working day in the last week before a regulatory deadline for the submission of information or in the period from 18 to 26 December, or five working days at other times, the information exchange between the Member State and the Commission may take place in paper form using the templates set out in this Regulation in which case the date of submission of the document is the date stamped by the post. When the cause of the force majeure ceases the party concerned enters in SFC2021 without delay the information already provided in paper form.

3.4. Ensuring compliance with the IT security terms and conditions published in the SFC2021 portal and the measures that are implemented in SFC2021 by the Commission to secure the transmission of data, in particular in relation to the use of the technical interface referred to in point 1.

3.5. Implementing and ensuring the effectiveness of the security measures adopted to protect the data stored and transmitted through SFC2021.

3.6. Updating and reviewing annually the SFC IT security policy and the relevant national, regional and local IT security policies in the event of technological changes, the identification of new threats or other relevant developments.

1. For the specific objective “enabling regions and people to address the social, employment, economic and environmental impacts of the transition towards the Union’s 2030 target for climate and a climate-neutral economy by 2050” supported by the JTF, intervention fields under any policy objectives may be used, provided they are consistent with Article [4] and [5] of Regulation (EU) [new JTF Regulation] and are in accordance with the relevant territorial just transition plan. For that specific objective, the coefficient for the calculation of support to climate change objectives is set at 100 % for all intervention fields used. [↑](#footnote-ref-1)
2. Where a Member State’s recognised amount in support for climate objectives under its Recovery and Resilience Plan has been increased following the application of Article 15(3)(c) RRF, an identical proportionate increase in the level of that Member State’s contribution to its support for climate objectives shall also be applied under cohesion policy. [↑](#footnote-ref-2)
3. The intervention fields are grouped by policy objectives, but their use is not limited to them. Any intervention field can be used under any policy objective. Especially for policy objective 5 all dimension codes under policy objectives 1 to 4 may be chosen in addition to those listed under policy objective 5. [↑](#footnote-ref-3)
4. Large enterprises are all enterprises other than SMEs, including small mid-cap companies. [↑](#footnote-ref-4)
5. If the objective of the measure is that the activity has to process or collect data to enable GHG emission reductions that result in demonstrated substantial lifecycle GHG emissions savings. If the objective of the measure requires data centres to comply with “European Code of Conduct on Data Centre Energy Efficiency”. [↑](#footnote-ref-5)
6. If the objective of the measure is (a) to achieve, on average, at least a medium-depth level renovation as defined in Commission Recommendation (EU) 2019/786 of 8 May 2019 on building renovation or (b) to achieve, on average, at least a 30 % reduction of direct and indirect GHG emissions compared to the *ex-ante* emissions. [↑](#footnote-ref-6)
7. If the objective of the measure is to achieve, on average, at least a medium-depth level renovation as defined in Commission Recommendation (EU) 2019/786. The renovation of buildings is also meant to include infrastructure in the sense of intervention fields 120 to 127. [↑](#footnote-ref-7)
8. If the objective of the measures concerns the construction of new buildings with a Primary Energy Demand (PED) that is at least 20 % lower than the NZEB requirement (nearly zero-energy building, national directives). The construction of new energy efficient buildings is also meant to include infrastructure in the sense of intervention fields 120 to 127. [↑](#footnote-ref-8)
9. If the objective of the measure is (a) to achieve, on average, at least a medium-depth level renovation as defined in Commission Recommendation (EU) 2019/786 or (b) to achieve, on average, at least a 30 % reduction of direct and indirect GHG emissions compared to the *ex-ante* emissions. The renovation of buildings is also meant to include infrastructure in the sense of intervention fields 120 to 127. [↑](#footnote-ref-9)
10. If the objective of the measure relates to the production of electricity or heat from biomass, in line with Directive (EU) 2018/2001. [↑](#footnote-ref-10)
11. If the objective of the measure relates to the production of electricity or heat from biomass, in line with Directive (EU) 2018/2001; and if the objective of the measure is to achieve at least 80 % GHG emission savings at the facility from the use of biomass in relation to the GHG saving methodology and the relative fossil fuel comparator set out in Annex VI to Directive (EU) 2018/2001. If the objective of the measure relates to the production of biofuel from biomass (excluding food and feed crops), in line with Directive (EU) 2018/2001; and if the objective of the measure is to achieve at least 65 % GHG emission savings at the facility from the use of biomass for this purpose in relation to the GHG saving methodology and the relative fossil fuel comparator set out in Annex V to Directive (EU) 2018/2001. [↑](#footnote-ref-11)
12. This field cannot be used when supporting fossil fuels under Article 7(1)(g) of Regulation (EU) 2021/… of the European Parliament and of the Council of … on the European Regional Development Fund and on the Cohesion Fund [ERDF/CF Regulation]. [↑](#footnote-ref-12)
13. In case of high-efficiency cogeneration, if the objective of the measure is to achieve life cycle emissions that are lower than 100gCO2e/kWh or heat/cool produced from waste heat. In case of district heating/cooling, if the associated infrastructure follows the Directive 2012/27/EU of the European Parliament and of the Council of 25 October 2012 on energy efficiency, amending Directives 2009/125/EC and 2010/30/EU and repealing Directives 2004/8/EC and 2006/32/EC (OJ L 315 14.11.2012, p. 1), or the existing infrastructure is refurbished to meet the definition of the efficient district heating and cooling, or the project is an advanced pilot system (control and energy management systems, Internet of Things) or leads to a lower temperature regime in the district heating and cooling system. [↑](#footnote-ref-13)
14. If the objective of the measure is for the constructed system to have an average energy consumption of <= 0.5 kWh or an Infrastructure Leakage Index (ILI) of <= 1.5, and for the renovation activity to decrease the average energy consumption by more than 20 % or decrease leakage by more than 20 %. [↑](#footnote-ref-14)
15. If the objective of the measure for the constructed front-to-end waste water system to have net zero energy use or for the renewal of the front-to-end waste water system to lead to a decreased average energy use by at least 10 % (solely by energy efficiency measures and not by material changes or changes in load). [↑](#footnote-ref-15)
16. If the objective of the measure is to convert at least 50 %, in terms of weight, of the processed separately collected non-hazardous waste into secondary raw materials. [↑](#footnote-ref-16)
17. If the objective of the measure is to turn industrial sites and contaminated land into a natural carbon sink [reference to legal definition]. [↑](#footnote-ref-17)
18. Clean urban transport infrastructure refers to infrastructure that enables the operation of zero-emission rolling stock. [↑](#footnote-ref-18)
19. Clean urban transport rolling stock refers to zero-emission rolling stock. [↑](#footnote-ref-19)
20. If the objective of the measure is in line with Directive (EU) 2018/2001. [↑](#footnote-ref-20)
21. For intervention fields 086 to 092, intervention fields 080, 081 and 085 can be used for elements of the measures that relate to interventions in alternative fuels, including EV charging, or public transport. [↑](#footnote-ref-21)
22. If the objective of the measure relates to electrified trackside and associated subsystems or if there is a plan for electrification or it will be fit for use by zero tailpipe emission trains within 10 years. [↑](#footnote-ref-22)
23. Also applies to bi-mode trains. [↑](#footnote-ref-23)
24. Other approaches undertaken under policy objectives other than policy objective 5 and not in a form of ITI, not in form of CLLD. [↑](#footnote-ref-24)
25. Regulation (EC) No 1059/2003 of the European Parliament and of the Council of 26 May 2003 on the establishment of a common classification of territorial units for statistics (NUTS) (OJ L 154, 21.6.2003, p. 1). [↑](#footnote-ref-25)
26. As regards the ERDF, only table 2 in section 8 is relevant for European territorial cooperation (Interreg) goal, while all the information in the remaining sections and tables concern only the Investment for jobs and growth goal. [↑](#footnote-ref-26)
27. Numbers in square brackets refer to number of characters without spaces. [↑](#footnote-ref-27)
28. Contributions shall not affect the annual breakdown of financial appropriations at the MFF level for a Member State. [↑](#footnote-ref-28)
29. Transfers shall not affect the annual breakdown of financial appropriations at the MFF level for a Member State. [↑](#footnote-ref-29)
30. In case Article 36(4) CPR technical assistance was chosen. [↑](#footnote-ref-30)
31. In case Article 36(5) CPR technical assistance was chosen. [↑](#footnote-ref-31)
32. In line with the objective defined in Article 3(2), point (a) in conjunction with recital (25) of Directive (EU) 2018/1972 of the European Parliament and of the Council of 11 December 2018 establishing the European Electronic Communications Code (OJ L 321, 17.12.2018, p. 36). [↑](#footnote-ref-32)
33. In line with Article 22 of Directive (EU) 2018/1972. [↑](#footnote-ref-33)
34. Directive 2014/61/EU of the European Parliament and of the Council of 15 May 2014 on measures to reduce the cost of deploying high-speed electronic communications networks (OJ L 155, 23.5.2014, p. 1). [↑](#footnote-ref-34)
35. Directive (EU) 2018/2001 of the European Parliament and of the Council of 11 December 2018 on the promotion of the use of energy from renewable sources (OJ L 328, 21.12.2018, p. 82). [↑](#footnote-ref-35)
36. Regulation (EU) 2018/1999 of the European Parliament and of the Council of 11 December 2018 on the Governance of the Energy Union and Climate Action, amending Regulations (EC) No 663/2009 and (EC) No 715/2009 of the European Parliament and of the Council, Directives 94/22/EC, 98/70/EC, 2009/31/EC, 2009/73/EC, 2010/31/EU, 2012/27/EU and 2013/30/EU of the European Parliament and of the Council, Council Directives 2009/119/EC and (EU) 2015/652 and repealing Regulation (EU) No 525/2013 of the European Parliament and of the Council (OJ L 328, 21.12.2018, p. 1). [↑](#footnote-ref-36)
37. Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924). [↑](#footnote-ref-37)
38. As assessed in the risk management capabilities assessment required under Article 6(1)(b) of Decision 1313/2013. [↑](#footnote-ref-38)
39. Council Directive 91/271/EEC of 21 May 1991 concerning urban waste water treatment (OJ L 135, 30.5.1991, p. 40). [↑](#footnote-ref-39)
40. Council Directive 98/83/EC of 3 November 1998 on the quality of water intended for human consumption (OJ L 330, 5.12.1998, p. 32). [↑](#footnote-ref-40)
41. Directive (EU) 2020/2184 of the European Parliament and of the Council of 16 December 2020 on the quality of water intended for human consumption (recast) (OJ L 435, 23.12.2020, p. 1). [↑](#footnote-ref-41)
42. Directive 2008/98/EC of the European Parliament and of the Council of 19 November 2008 on waste and repealing certain Directives (OJ L 312, 22.11.2008, p. 3). [↑](#footnote-ref-42)
43. Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7). [↑](#footnote-ref-43)
44. Commission Implementing Regulation (EU) 2017/6 of 5 January 2017 on the European Rail Traffic Management System European deployment plan (OJ L 3, 6.1.2017, p. 6). [↑](#footnote-ref-44)
45. Numbers in square brackets refer to number of characters without spaces. [↑](#footnote-ref-45)
46. For programmes limited to supporting the specific objective set out in Article 4(1) point (m) of the ESF+ Regulation, description of the programme strategy does not need to relate to challenges referred to in subpoints (i), (ii) and (vi) of point (a) of Article 22(3) CPR. [↑](#footnote-ref-46)
47. In case resources under the specific objective set out in Article 4(1), point (l) of the ESF+ Regulation are taken into account for the purposes of Article 7(4) of the ESF+ Regulation. [↑](#footnote-ref-47)
48. Except for a specific objective set out in Article 4(1), point (m) of the ESF+ Regulation. [↑](#footnote-ref-48)
49. Article 22(3), point (d) CPR does not apply to the specific objective set out in Article 4(1), point (m) of the ESF+ Regulation. [↑](#footnote-ref-49)
50. Only for programmes limited to the specific objective set out in Article 4(1), point (m) of the ESF+ Regulation. [↑](#footnote-ref-50)
51. Applicable only to programme amendments in line with Articles 14 and 26, CPR except complementary transfers to the JTF in line with Article 27. Transfers shall not affect the annual breakdown of financial appropriations at the MFF level for a Member State. [↑](#footnote-ref-51)
52. Transfers shall not affect the annual breakdown of financial appropriations at the MFF level for a Member State. [↑](#footnote-ref-52)
53. Applicable to the first adoption of programmes with JTF allocation. [↑](#footnote-ref-53)
54. Section to be filled in by receiving programme. In case a programme supported by the JTF receives complementary support (cf. Article 27) within the programme and from other programmes all tables in this section need to be filled in. At the first adoption with JTF allocation, this section is to confirm or correct the preliminary transfers proposed in the Partnership Agreement. [↑](#footnote-ref-54)
55. Applicable only to programme amendments for resources transferred back from other EU instruments, including elements of AMIF, ISF and BMVI, under direct or indirect management, or from Invest EU. [↑](#footnote-ref-55)
56. This refers to the code for the intervention field dimension in Table 1 of Annex I CPR and Annex IV of the EMFAF Regulation. [↑](#footnote-ref-56)
57. This refers to the code of a common indicator, if applicable. [↑](#footnote-ref-57)
58. Envisaged starting date of the selection of operations and envisaged final date of their completion (ref. Article 63(5)). [↑](#footnote-ref-58)
59. For operations encompassing several simplified cost options covering different categories of costs, different projects or successive phases of an operation, the fields 3 to 11 need to be filled in for each indicator triggering reimbursement. [↑](#footnote-ref-59)
60. If applicable, indicate the frequency and timing of the adjustment and a clear reference to a specific indicator (including a link to the website where this indicator is published, if applicable). [↑](#footnote-ref-60)
61. Are there any potential negative implications on the quality of the supported operations and, if so, what measures (e.g. quality assurance) will be taken to offset this risk? [↑](#footnote-ref-61)
62. This refers to the code for the intervention field dimension in Table 1 of Annex I CPR and Annex IV of the EMFAF Regulation. [↑](#footnote-ref-62)
63. This refers to the code of a common indicator, if applicable. [↑](#footnote-ref-63)
64. NUTS — Nomenclature of Territorial Units for Statistics. Typically, the region is specified at level 2. Regulation (EC) No 1059/2003 of the European Parliament and of the Council of 26 May 2003 on the establishment of a common classification of territorial units for statistics (NUTS) (OJ L 154, 21.6.2003, p. 1). [↑](#footnote-ref-64)
65. An undertaking for the purposes of rules on competition laid down in the Treaty and for the purposes of this section is any entity engaged in an economic activity, regardless of its legal status and the way in which it is financed. The Court of Justice has ruled that entities which are controlled (on a legal or on a *de facto* basis) by the same entity should be considered as one undertaking. [↑](#footnote-ref-65)
66. Period during which the granting authority can commit itself to grant the aid. [↑](#footnote-ref-66)
67. ‘Date of granting the aid’ means the date when the legal right to receive the aid is conferred on the beneficiary under the applicable national legal regime. [↑](#footnote-ref-67)
68. NACE Rev. 2 — Statistical classification of Economic Activities in the European Union. Typically, the sector shall be specified at group level. [↑](#footnote-ref-68)
69. In case of an aid scheme: indicate the annual overall amount of the budget planned under the scheme or the estimated tax loss per year for all aid instruments contained in the scheme. [↑](#footnote-ref-69)
70. In case of an *ad hoc* aid award: indicate the overall aid amount/tax loss. [↑](#footnote-ref-70)
71. For guarantees, indicate the (maximum) amount of loans guaranteed. [↑](#footnote-ref-71)
72. Where appropriate, reference to the Commission decision approving the methodology to calculate the gross grant equivalent. [↑](#footnote-ref-72)
73. Number in square brackets refer to number of characters without spaces. [↑](#footnote-ref-73)
74. This refers to the code in Annex VI of the fund-specific regulations on the AMIF, BMVI and ISF. [↑](#footnote-ref-74)
75. This refers to the code of a common indicator, if applicable. [↑](#footnote-ref-75)
76. Envisaged starting date of the selection of operations and envisaged final date of their completion (ref. Article 63(5)). [↑](#footnote-ref-76)
77. For operations encompassing several simplified cost options covering different categories of costs, different projects or successive phases of an operation, the fields 3 to 11 need to be filled in for each indicator triggering reimbursement. [↑](#footnote-ref-77)
78. If applicable, indicate the frequency and timing of the adjustment and a clear reference to a specific indicator (including a link to the website where this indicator is published, if applicable). [↑](#footnote-ref-78)
79. Are there any potential negative implications on the quality of the supported operations and, if so, what measures (e.g. quality assurance) will be taken to offset this risk? [↑](#footnote-ref-79)
80. This should refer to the code in Annex VI of the fund-specific regulations on the AMIF, BMVI and ISF. [↑](#footnote-ref-80)
81. This should refer to the code of a common indicator, if applicable. [↑](#footnote-ref-81)
82. Legend for the characteristics of fields:
type: N=Number, D=Date, S=String, C=Checkbox, P=Percentage, B=Boolean, Cu=Currency; input: M=Manual, S=Selection, G=Generated by system. [↑](#footnote-ref-82)
83. It does not apply to the Cohesion Fund and EMFAF. [↑](#footnote-ref-83)
84. For the purposes of this Annex, the data for selected operations will be based on the document setting out the conditions for support in accordance with Article 73(3). [↑](#footnote-ref-84)
85. It does not apply to the Cohesion Fund. [↑](#footnote-ref-85)
86. It does not apply to the Cohesion Fund and the EMFAF. [↑](#footnote-ref-86)
87. It applies only to some indicators. See Commission guidelines for details. [↑](#footnote-ref-87)
88. Legend for the characteristics of fields:type: N=Number, S=String, C=Checkbox; input: M=Manual, S=Selection, G=Generated by system. [↑](#footnote-ref-88)
89. Columns 8, 9, 10 and 11 are not applicable to the indicators in Annex III of the ESF+ Regulation - Common indicators for ESF+ support for addressing material deprivation (Article 4(1), point (m) of the ESF+ Regulation). [↑](#footnote-ref-89)
90. Legend for the characteristics of fields:type: N=Number, S=String, C=Checkbox; input: M=Manual, S=Selection, G=Generated by system. [↑](#footnote-ref-90)
91. Including gender and age breakdown, where required. [↑](#footnote-ref-91)
92. Including gender and age breakdown, where required. [↑](#footnote-ref-92)
93. It does not apply to the Cohesion Fund and the EMFAF. [↑](#footnote-ref-93)
94. It applies only to some indicators. See Commission guidelines for details. [↑](#footnote-ref-94)
95. Legend for the characteristics of fields:type: N=Number, S=String, C=Checkbox; input: M=Manual and [also covering automatically upload], S=Selection, G=Generated by system. [↑](#footnote-ref-95)
96. Columns 9, 10 and 12 are not applicable to the indicators in Annex III of the ESF+ Regulation - Common indicators for ESF+ support for addressing material deprivation (Article 4(1) point (m) of the ESF+ Regulation). [↑](#footnote-ref-96)
97. Including gender and age breakdown, where required. [↑](#footnote-ref-97)
98. Including gender and age breakdown, where required. [↑](#footnote-ref-98)
99. Not applicable to AMIF, ISF and BMVI [↑](#footnote-ref-99)
100. In the data exchange system SFC2021 the column should separate possibility to report MCF paid in case of direct of award of contract and in case of competitive tender. [↑](#footnote-ref-100)
101. It does not apply to the Cohesion Fund, AMIF, BMVI, ISF and EMFAF [↑](#footnote-ref-101)
102. This should only show the specific allocation for outermost regions / Northern sparsely populated regions. [↑](#footnote-ref-102)
103. This should only show the specific allocation for outermost regions/ Northern sparsely populated regions. [↑](#footnote-ref-103)
104. OJ C 271, 8.9.2012, p. 5. [↑](#footnote-ref-104)
105. Directive (EU) 2017/1371 of the European Parliament and of the Council of 5 July 2017 on the fight against fraud to the Union's financial interests by means of criminal law (OJ L 198, 28.7.2017, p. 29). [↑](#footnote-ref-105)
106. Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC (OJ L 257, 28.8.2014, p. 73). [↑](#footnote-ref-106)
107. Directive 2002/58/EC of the European Parliament and of the Council of 12 July 2002 concerning the processing of personal data and the protection of privacy in the electronic communications sector (Directive on privacy and electronic communications) (OJ L 201, 31.7.2002, p. 37). [↑](#footnote-ref-107)
108. Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) (OJ L 119, 4.5.2016, p. 1). [↑](#footnote-ref-108)
109. Commission Decision C(2006)3602 of 16 August 2006 concerning the security of information systems used by the European Commission. [↑](#footnote-ref-109)
110. Directive 2002/58/EC of the European Parliament and of the Council of 12 July 2002 concerning the processing of personal data and the protection of privacy in the electronic communications sector (Directive on privacy and electronic communications) (OJ L 201, 31.7.2002, p. 37). [↑](#footnote-ref-110)